

A theory of the primary visual cortex (V1): predictions, experimental tests, and implications for future research

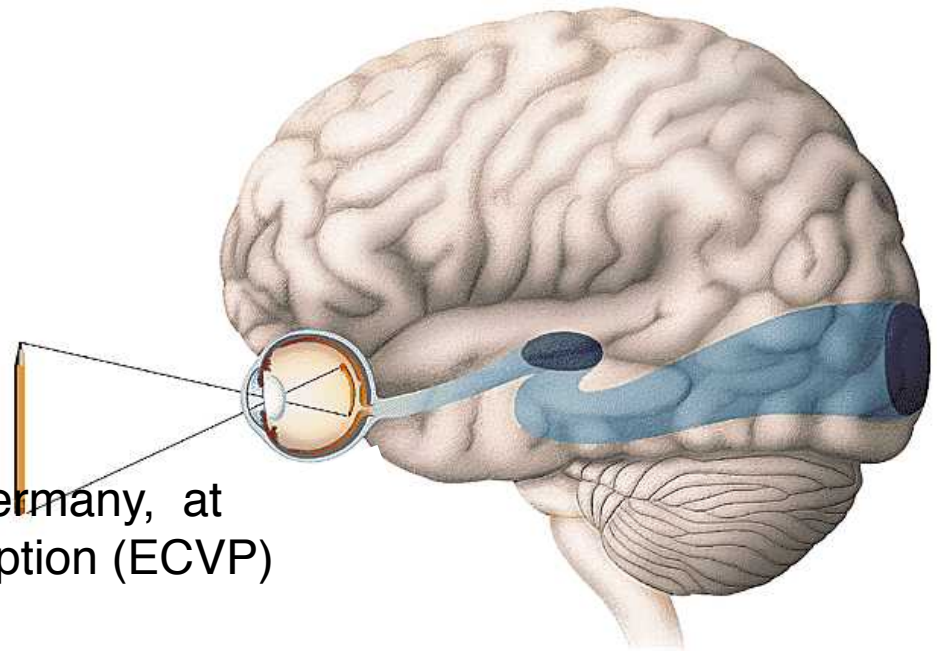
Li Zhaoping

University College London

Presented Aug. 27, 2013, Bremen, Germany, at
European conference on visual perception (ECVP)
in the plenary symposium

“Visual perception meets computational neuroscience”,

More information: <http://www0.cs.ucl.ac.uk/staff/zhaoping.li/V1Saliency.html>



Talk outline

Motivating questions:

Theory:

Predictions and tests:

Implications.

Talk outline

Motivating questions:

(1) What is V1 doing?

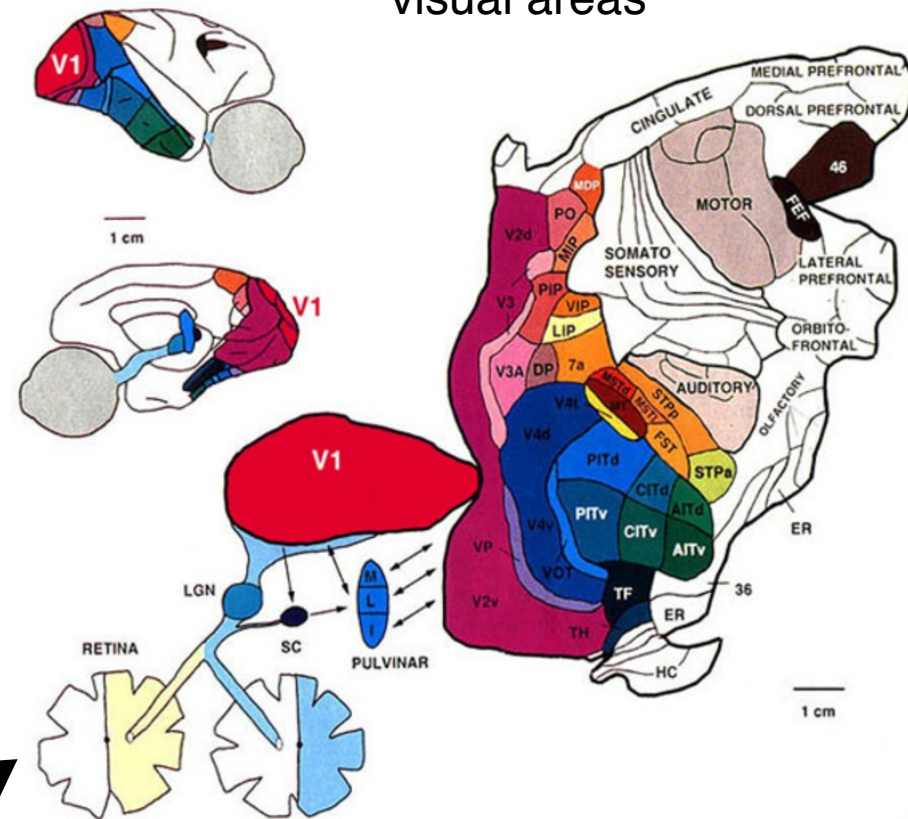
Theory:

Predictions and tests:

Implications.



Organization of visual areas



1953, Stephen Kuffler
Center-surround feature detectors
in retina

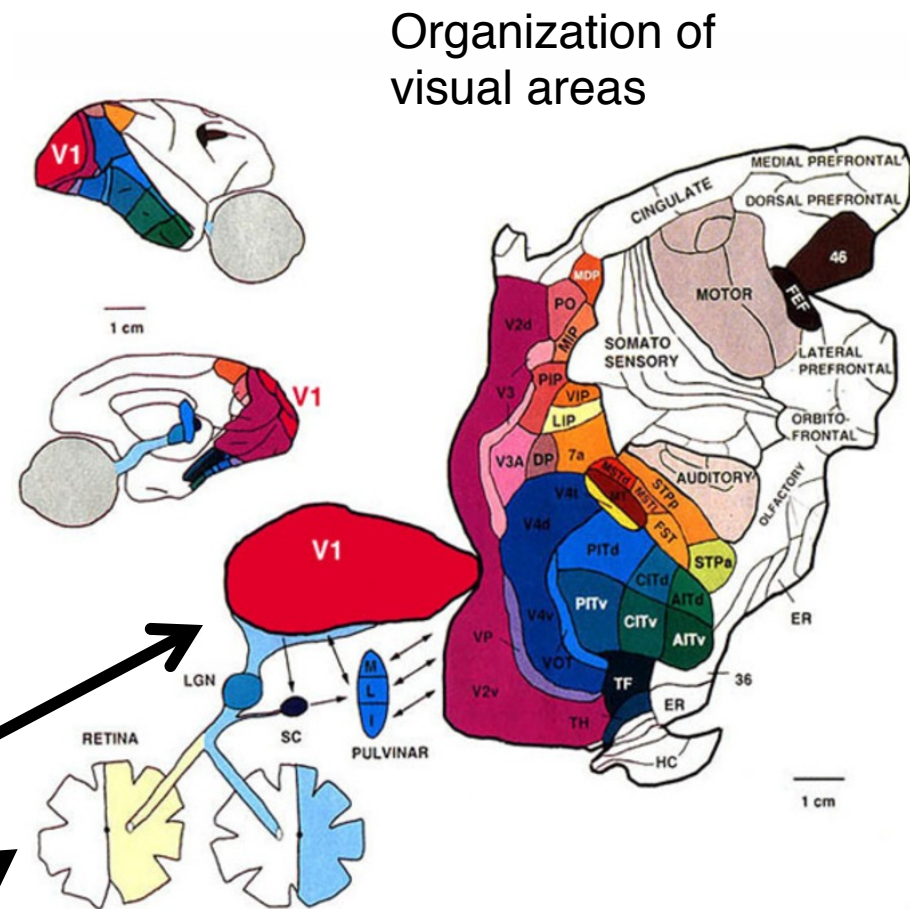


1960s-70s, Hubel and Wiesel

Bar/edge feature detectors in V1

1953, Stephen Kuffler

Center-surround feature detectors in retina



Organization of visual areas

1 cm

1980-200? --- V2, V3, V4 ...
No more data as illuminating

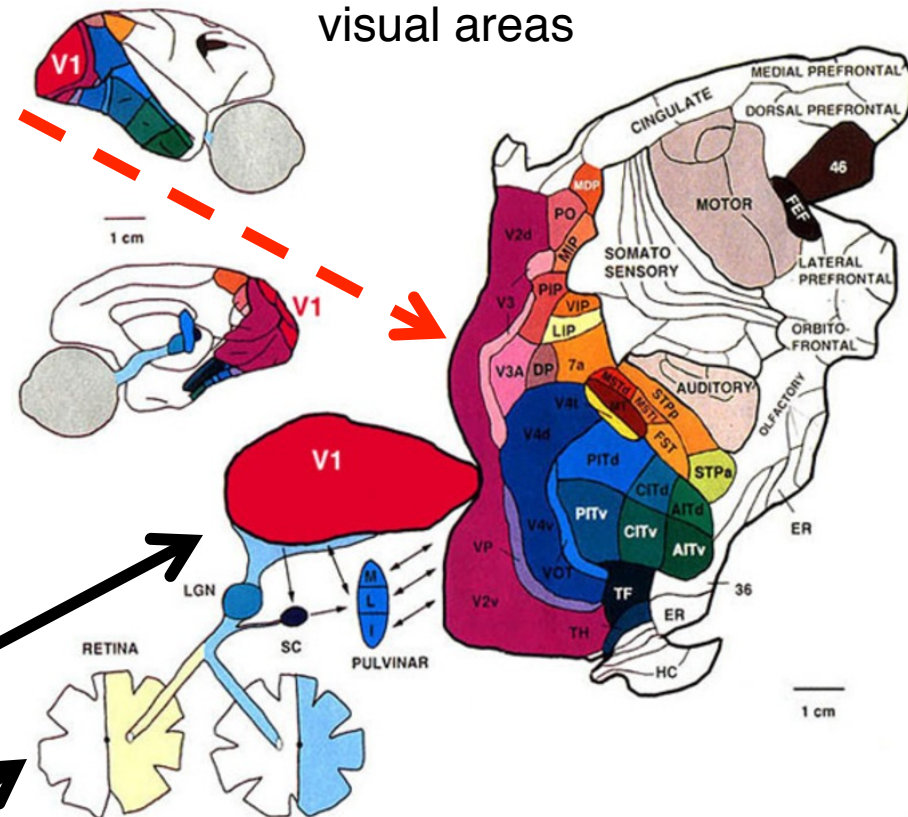
The feature detection idea no longer
applies around V1-V2?

Calling for new ideas!!!
--- What is V1 doing?

1960s-70s, Hubel and Wiesel
Bar/edge feature detectors in V1

1953, Stephen Kuffler
Center-surround feature detectors
in retina

Organization of
visual areas



Motivating question: what exactly is V1 doing?

Detect edges/bars ----for what?

Prepares information for later?

Verifies top-down information?

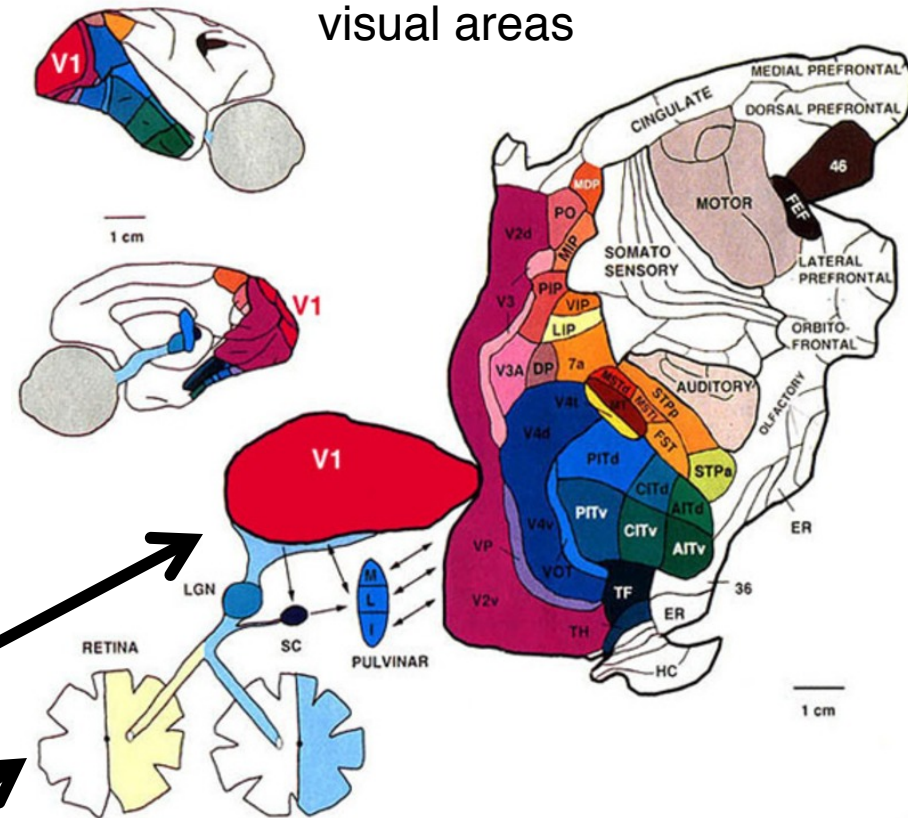
The back-office role?

But V1 is huge! (**and** thus expensive)

1960s-70s, Hubel and Wiesel
Bar/edge feature detectors in V1

1953, Stephen Kuffler
Center-surround feature detectors
in retina

Organization of
visual areas



Talk outline

Motivating questions:

(1) What is V1 doing?

(2) Which brain areas control the
direction of attention?

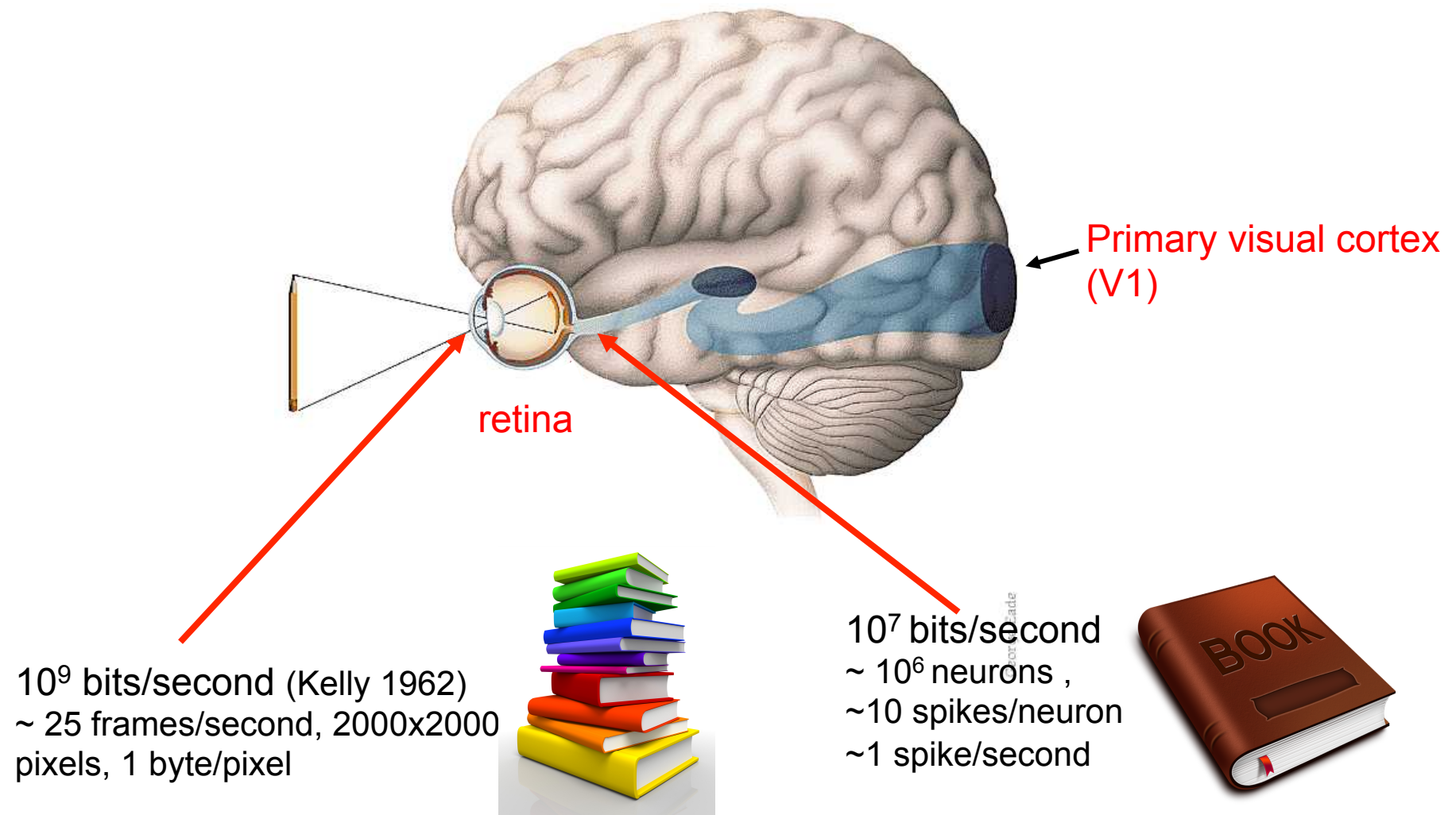


Theory:

Predictions and tests:

Implications.

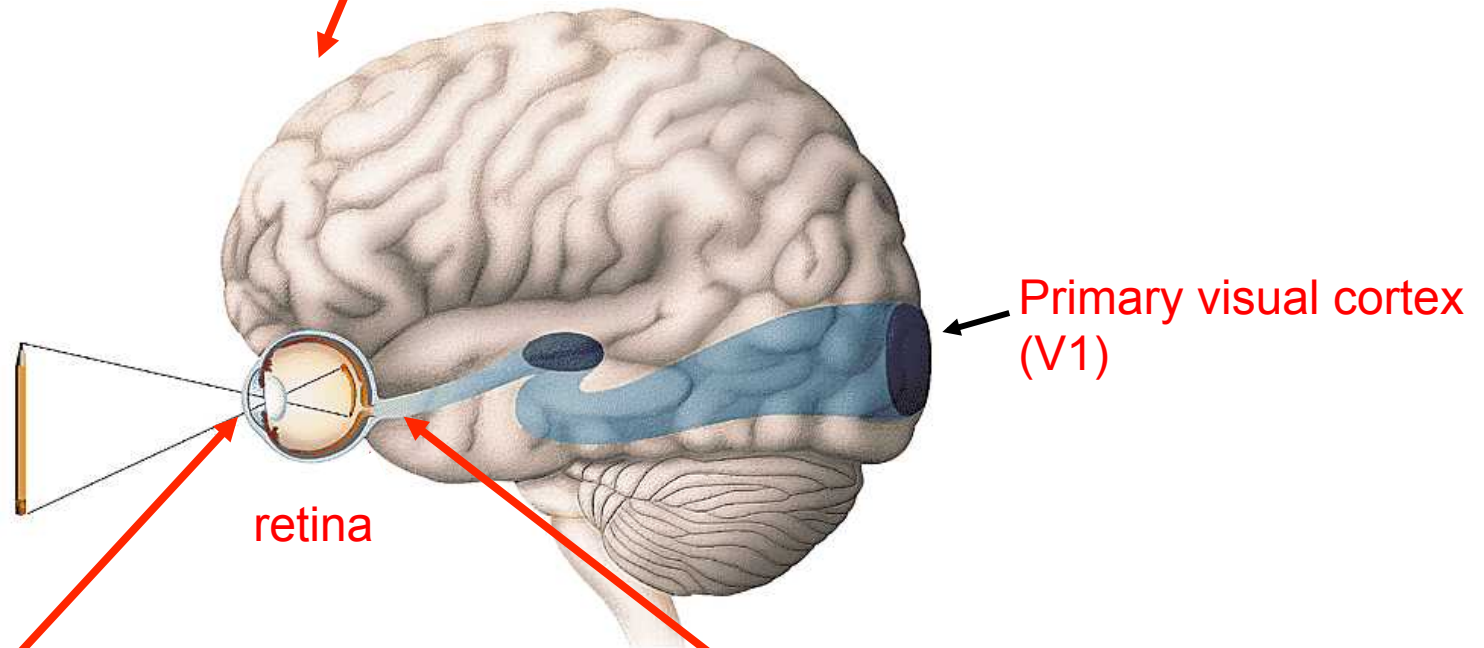
Information bottlenecks in the visual pathway:



Information bottlenecks in the visual pathway:

Attentional bottleneck ~ 40 bits/second

“To be or not to be,
This is the question ..”

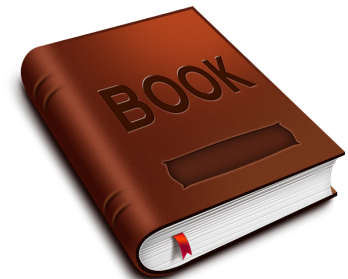
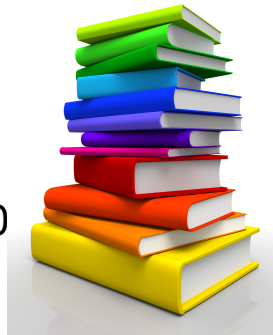


retina

Primary visual cortex
(V1)

10^9 bits/second (Kelly 1962)
~ 25 frames/second, 2000x2000
pixels, 1 byte/pixel

10^7 bits/second
~ 10^6 neurons ,
~10 spikes/neuron
~1 spike/second



Demo of information deletion --- change blindness

Inattentional blindness — spotting the difference between the two images



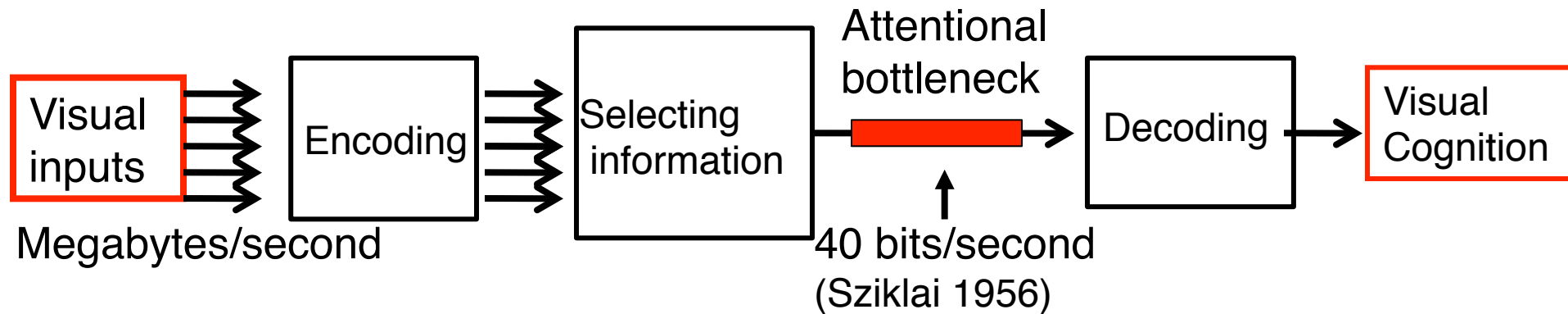
We are blind to almost everything except the tiny bit that we pay attention to!

Demo of information deletion --- change blindness

Inattentional blindness — spotting the difference between the two images



We are blind to almost everything except the tiny bit that we pay attention to!



Attention is guided by bottom-up or top-down factors.

Talk outline

Motivating questions:

(1) What is V1 doing?

(2) Which brain areas control the
direction of attention?



Theory:

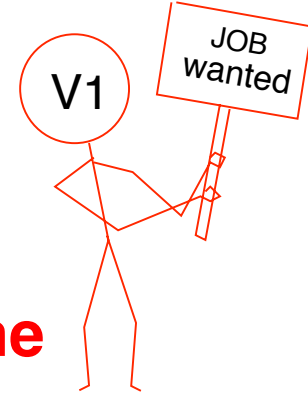
Predictions and tests:

Implications.

Talk outline

Motivating questions:

- (1) What is V1 doing?
- (2) Which brain areas control the direction of attention?



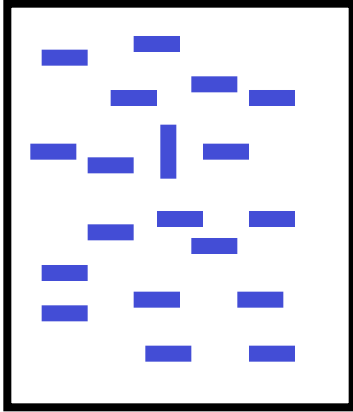
Theory:

A bottom-up saliency map in V1

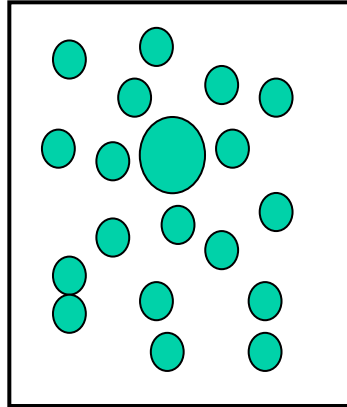
Predictions and tests:

Implications.

Retina
inputs



A bottom-up
Saliency map



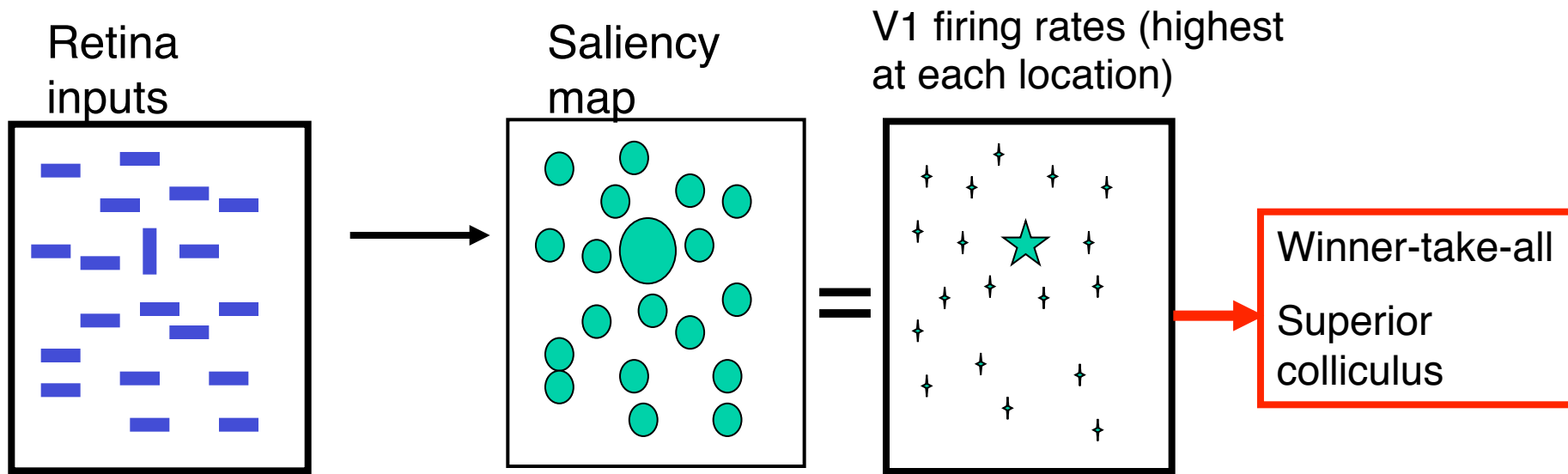
To guide
attentional
selection.

(Koch & Ullman
1985, Wolfe et al
1989, Itti & Koch
2000, etc.)

Shorter reaction time (RT)
of a saccade to a target,
or to find a target
→ higher saliency.

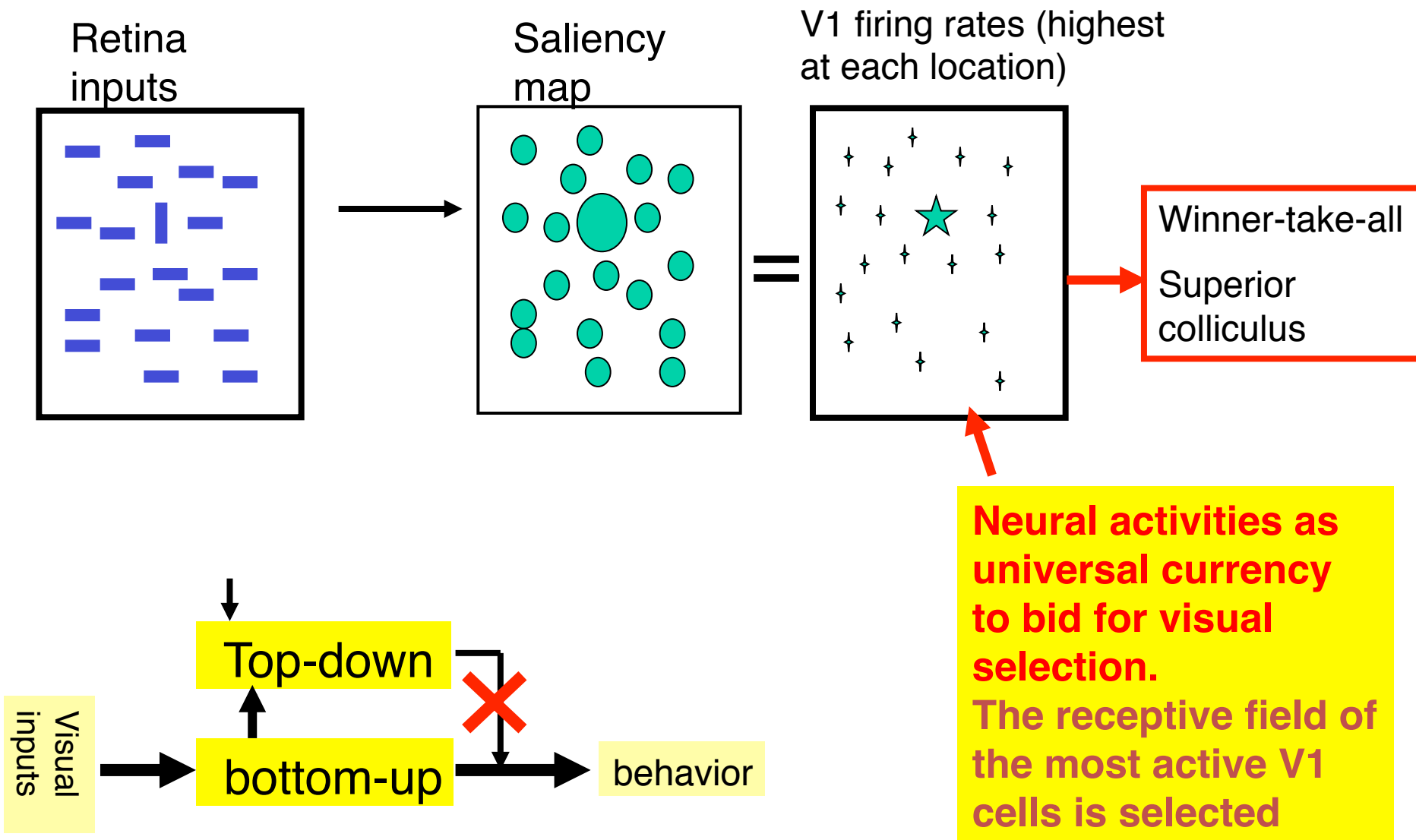
The V1 Saliency Hypothesis:

A bottom-up saliency map in the primary visual cortex (Li 1999, 2002)

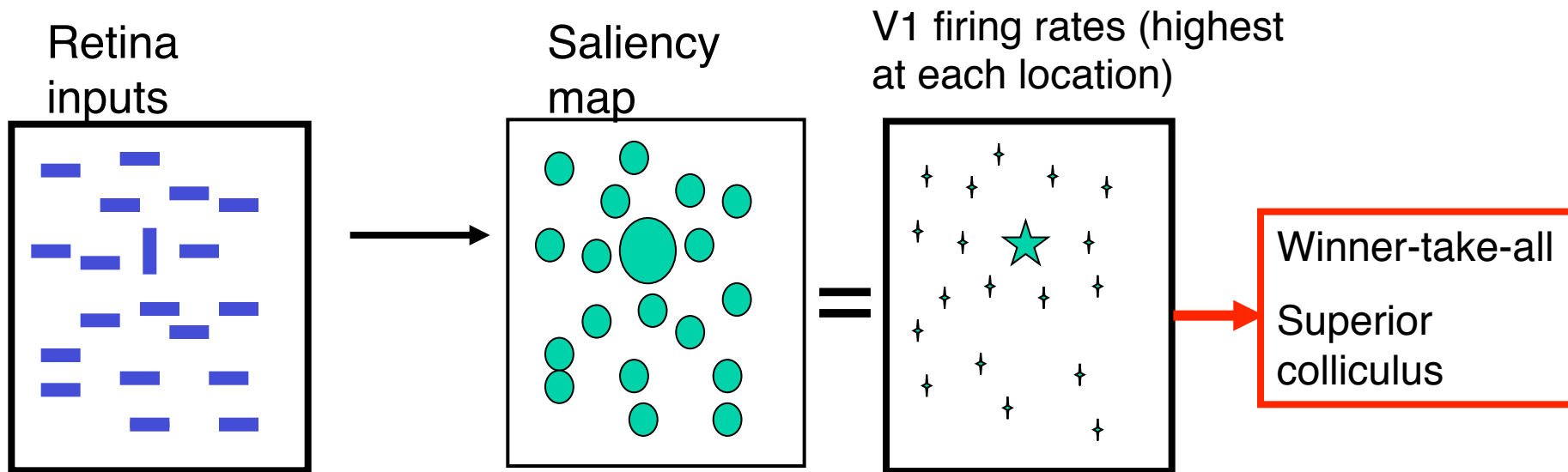


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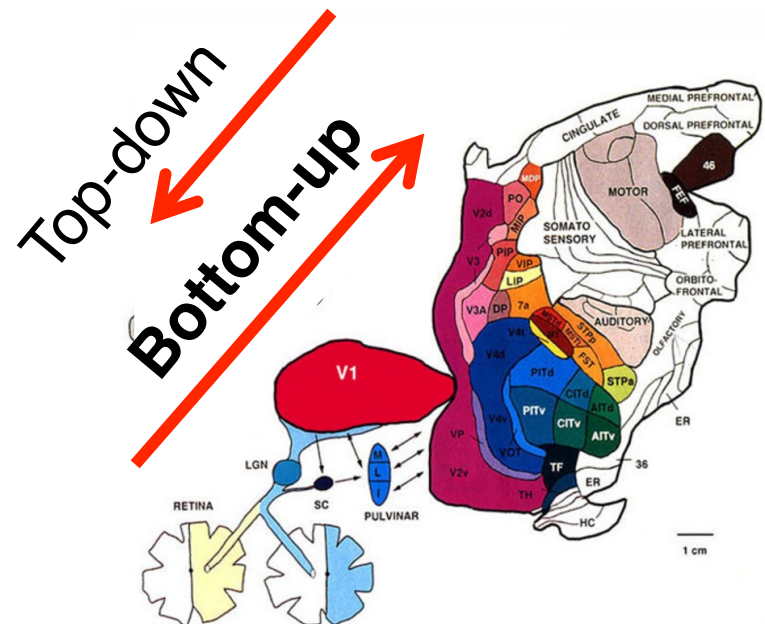
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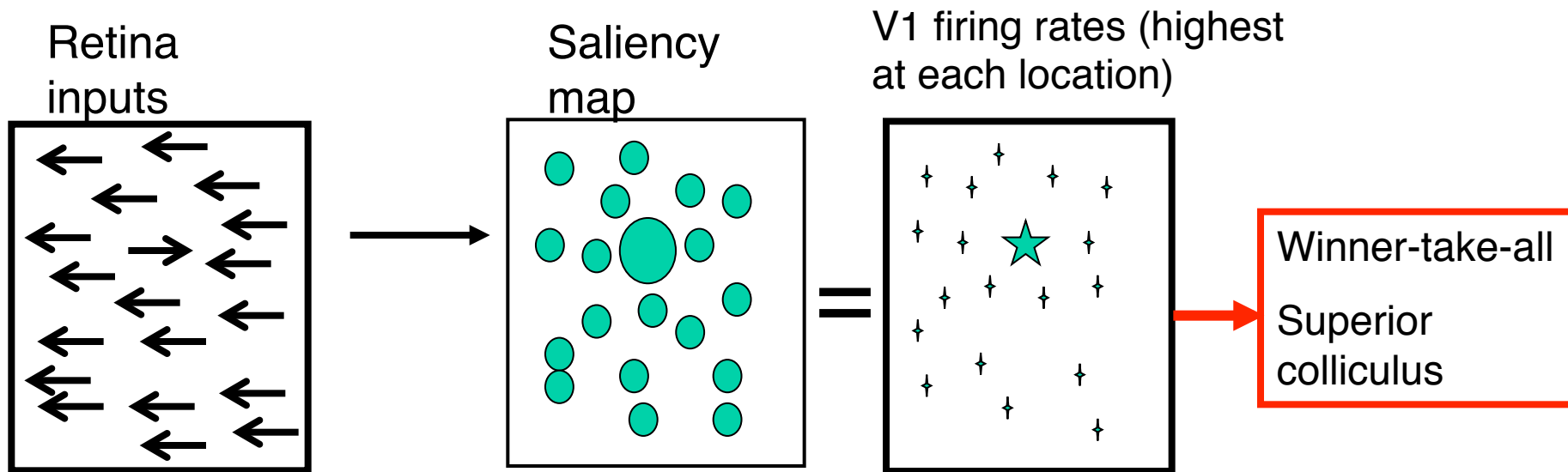
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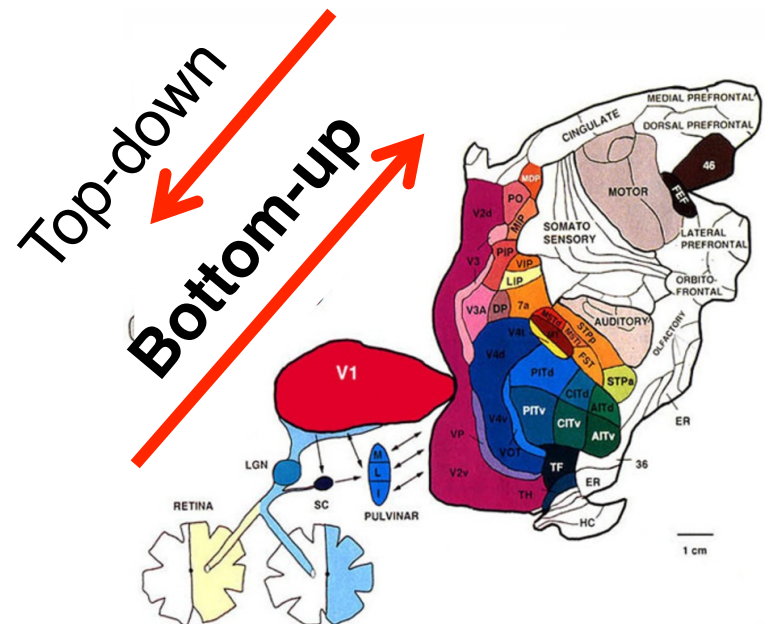
This hypothesis is against traditional wisdoms which presume that higher cortical areas guide the attentional selection (Treisman, Koch, Desimone, itti , etc).



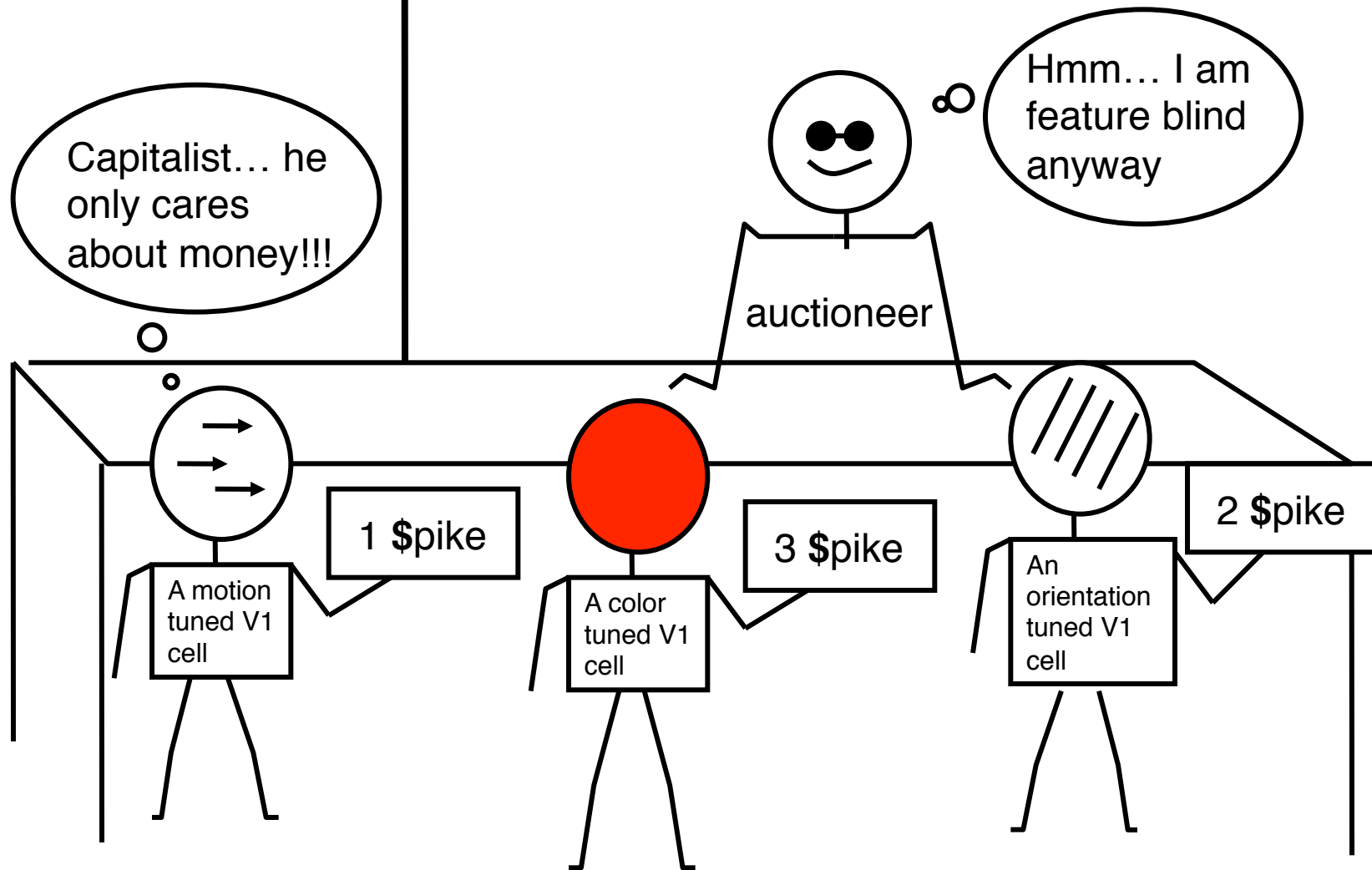
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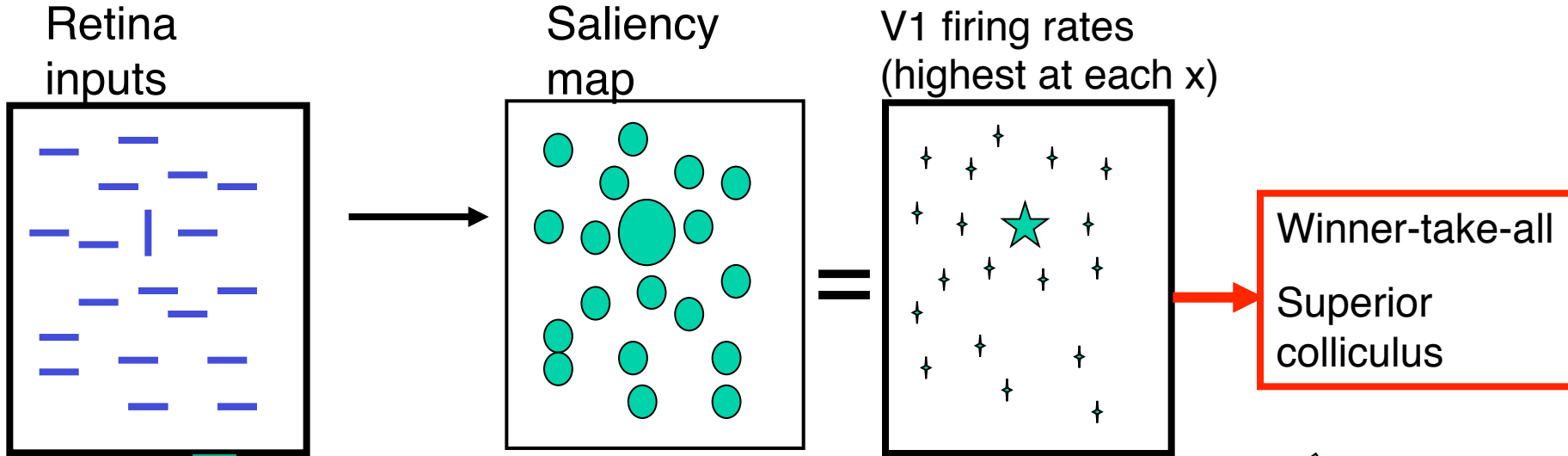


Attention auctioned here, no discrimination between your feature preferences, only spikes count!

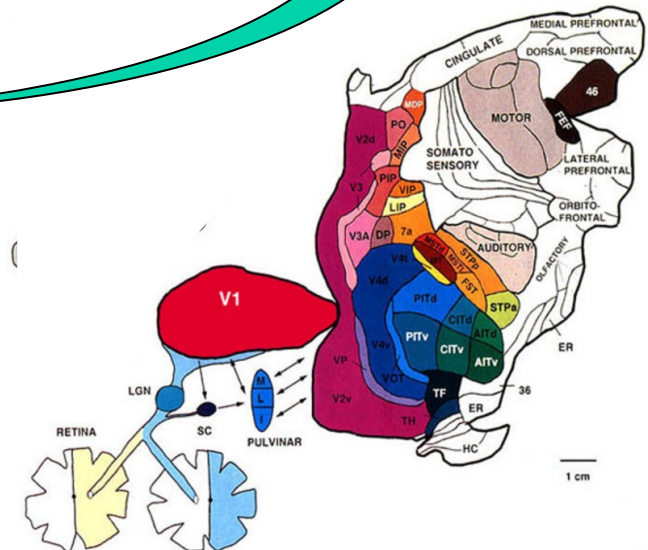


The V1 Saliency Hypothesis:

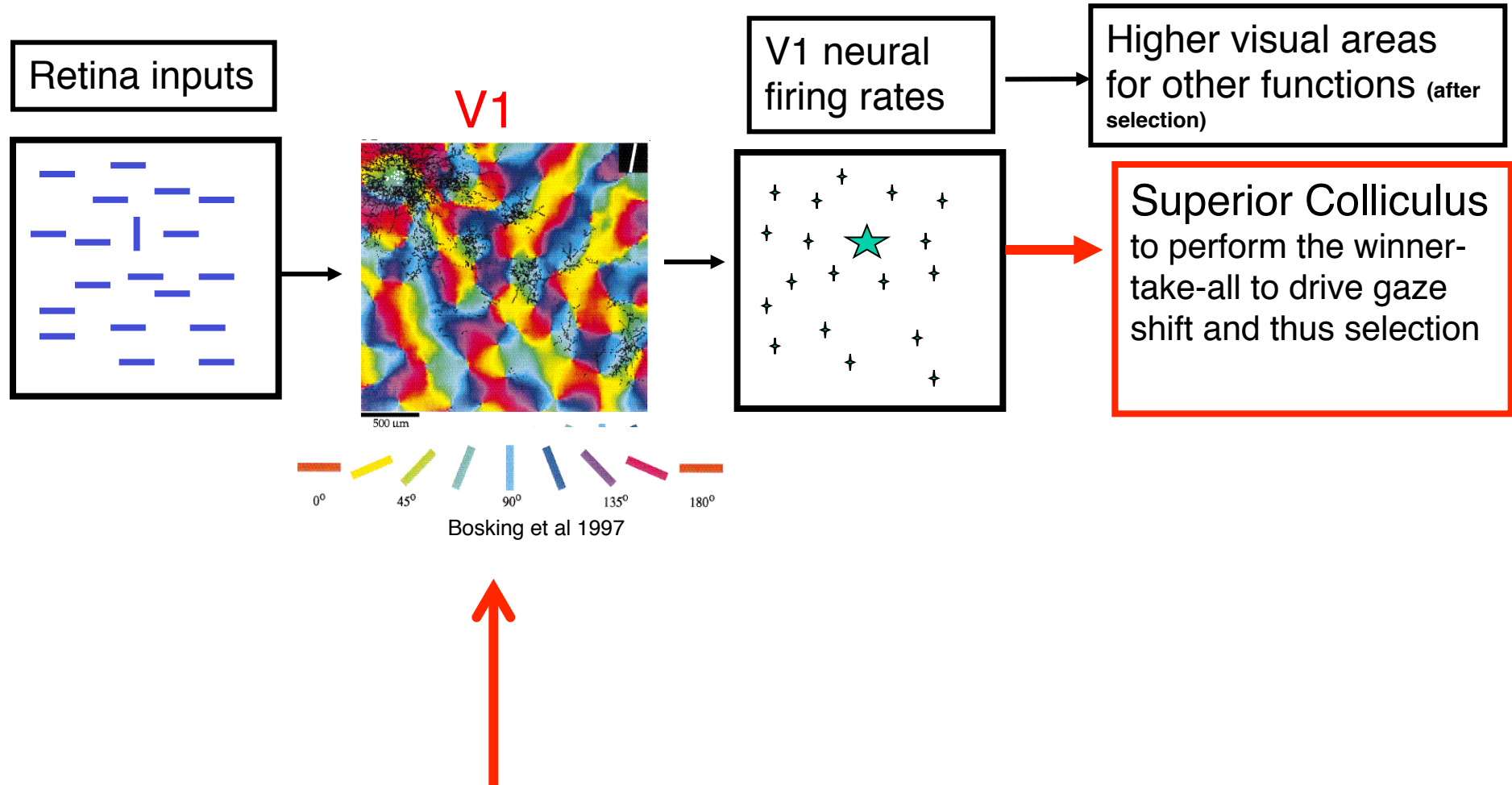
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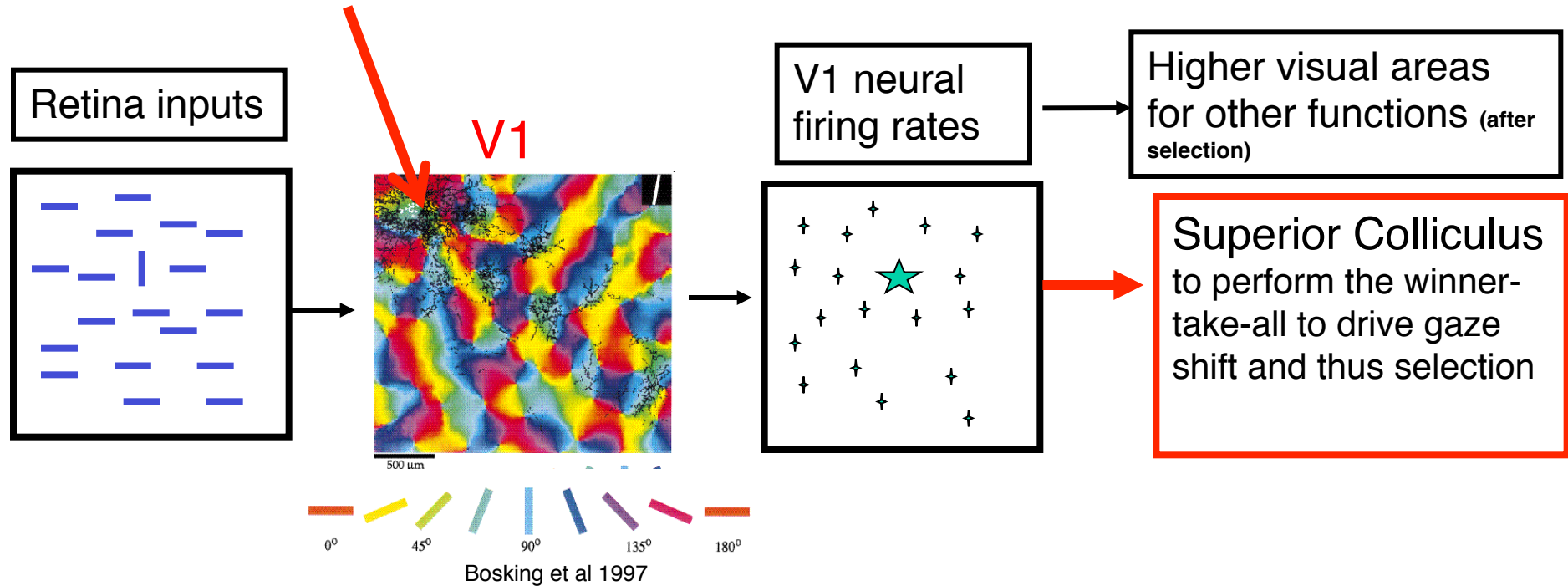
In monkeys, retina to superior colliculus connection is unable to drive visually guided saccades.



Intra-cortical interactions lead to saliency signals



Intra-cortical interactions lead to saliency signals

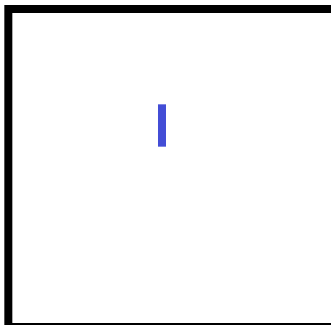
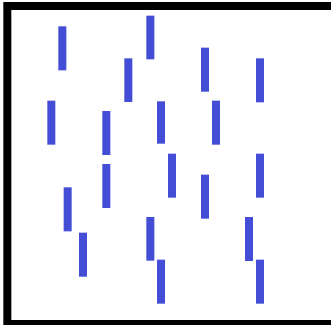
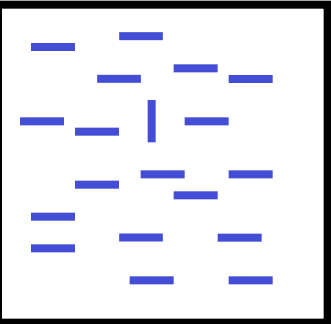


Intra-cortical interactions
in V1 make nearby
neurons (with not
necessarily overlapping
receptive fields) tuned to
the similar features
suppress each other ---
iso-feature

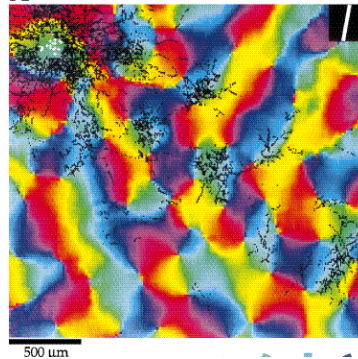
suppression (Gilbert &
Wiesel 1983, Rockland & Lund
1983, Allman et al 1985, Hirsch
& Gilbert 1991, Li & Li 1994,
etc)

Intra-cortical interactions lead to saliency signals

Retina inputs



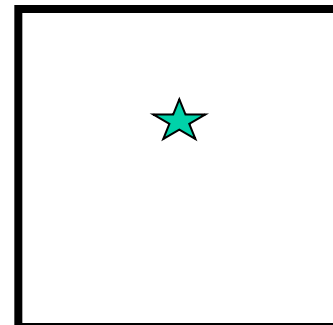
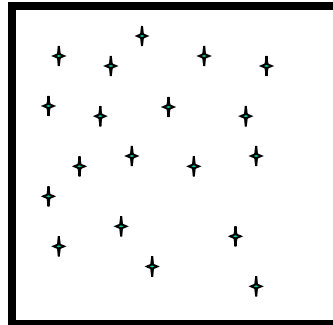
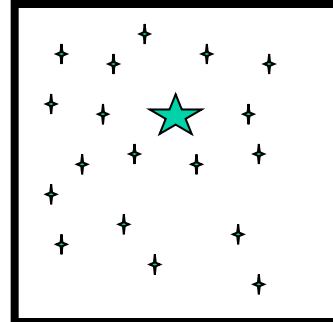
V1



Intra-cortical interactions in V1 make nearby neurons (with not necessarily overlapping receptive fields) tuned to the similar features suppress each other --- iso-feature

suppression (Gilbert & Wiesel 1983, Rockland & Lund 1983, Allman et al 1985, Hirsch & Gilbert 1991, Li & Li 1994, etc)

V1 neural firing rates

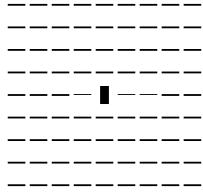


Higher visual areas for other functions (after selection)

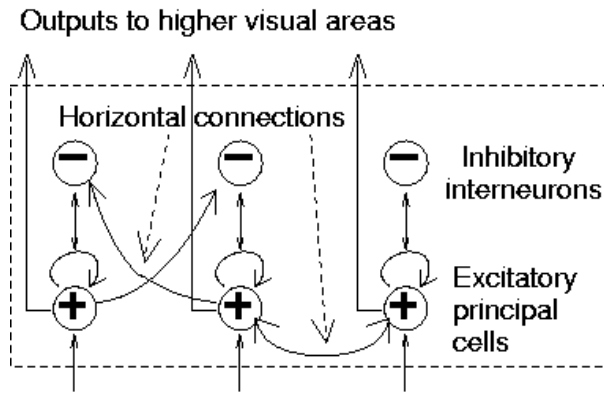
Superior Colliculus to perform the winner-take-all to drive gaze shift and thus selection

The V1 mechanism for saliency simulated by a V1 model

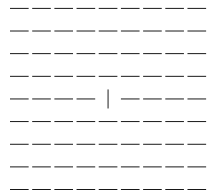
Model
output



V1
model



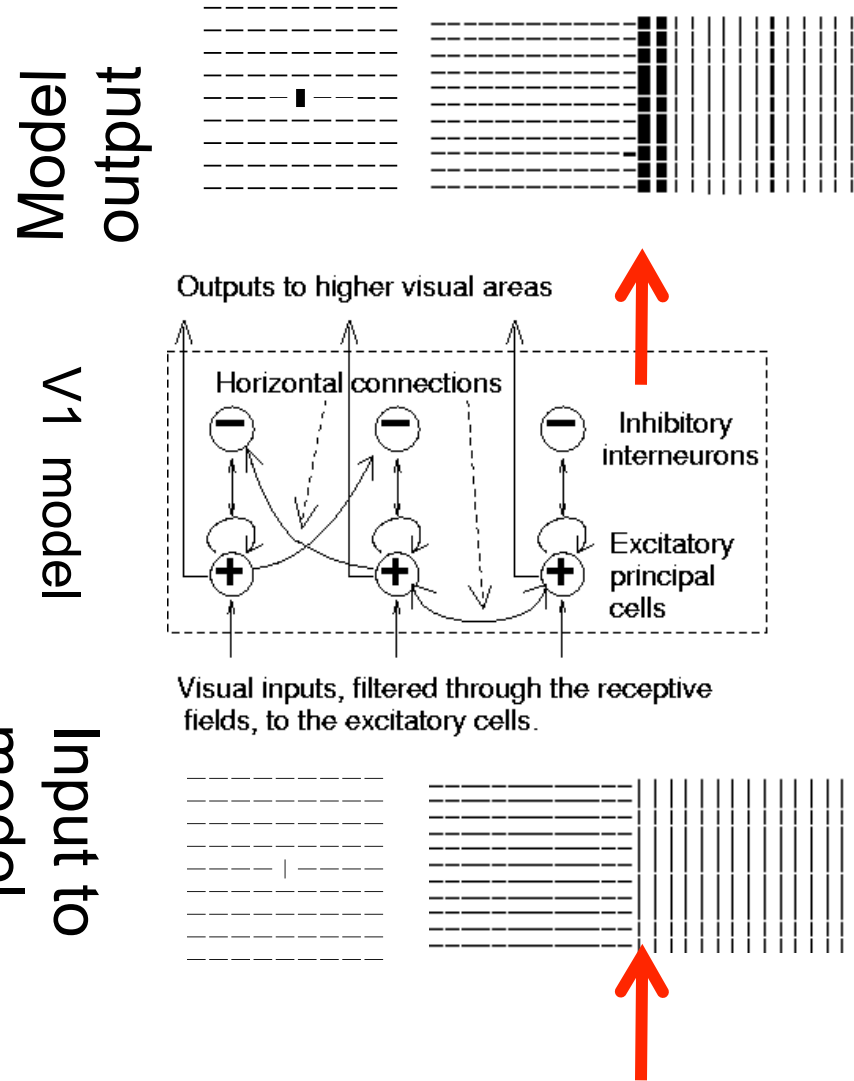
Visual inputs, filtered through the receptive fields, to the excitatory cells.



Input to
model

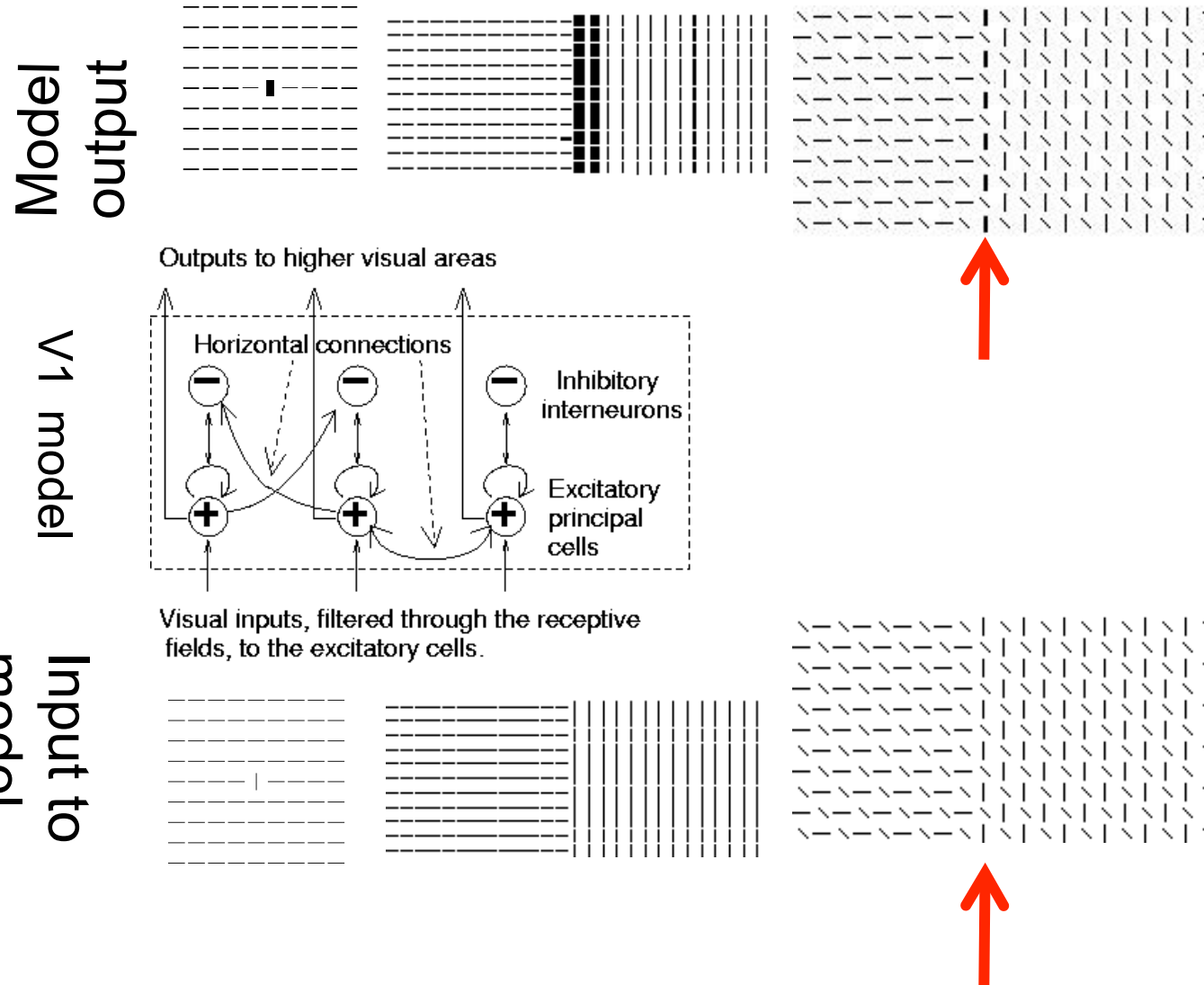
Li, 1998, 1999, 2000, 2002.

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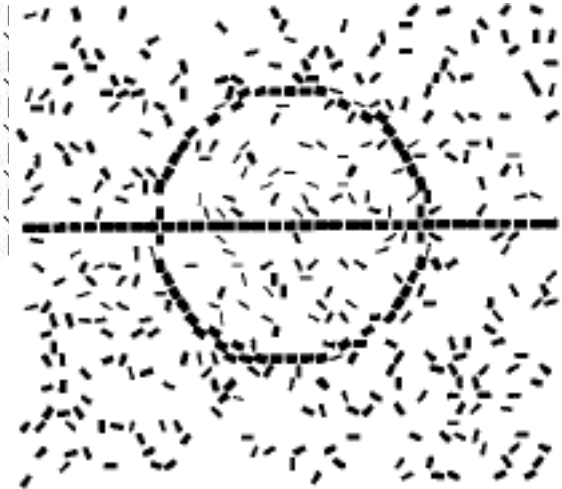
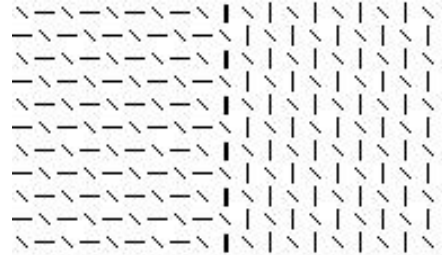
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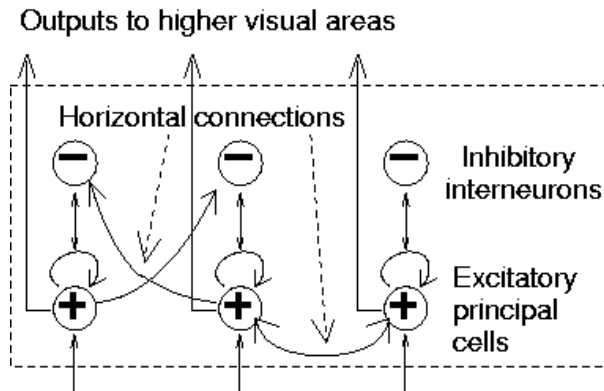
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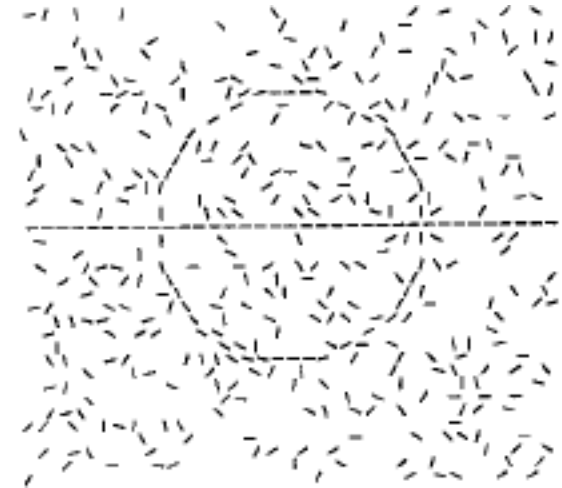
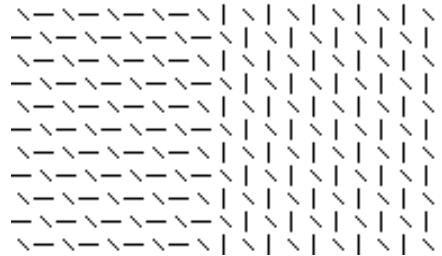
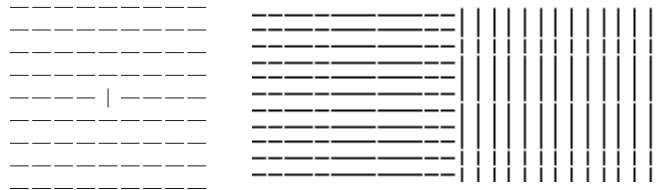
Model
output



V1
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Visual inputs, filtered through the receptive fields, to the excitatory cells.



Li, 1998, 1999, 2000, 2002.

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- (1) What is V1 doing?
- (2) Which brain areas control the direction of attention?

Theory:

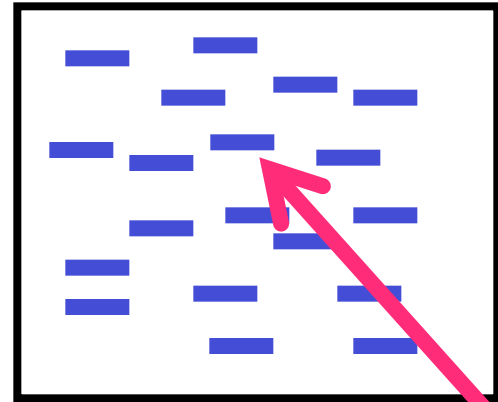
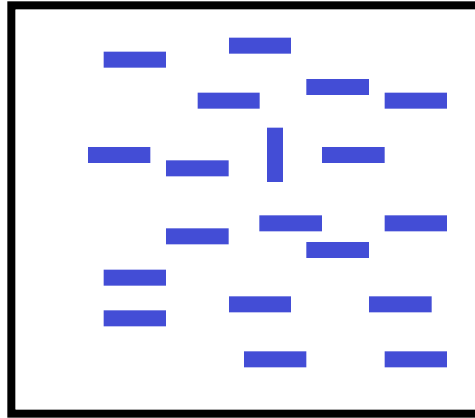
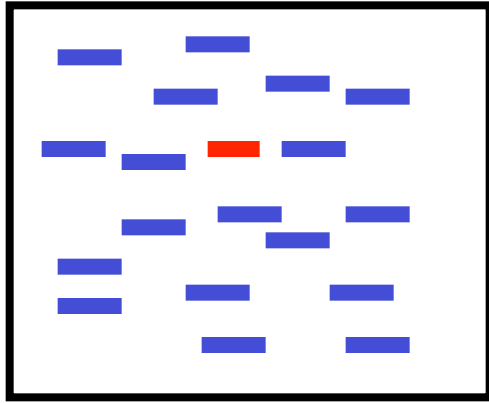
A bottom-up saliency map in V1

Predictions and tests:



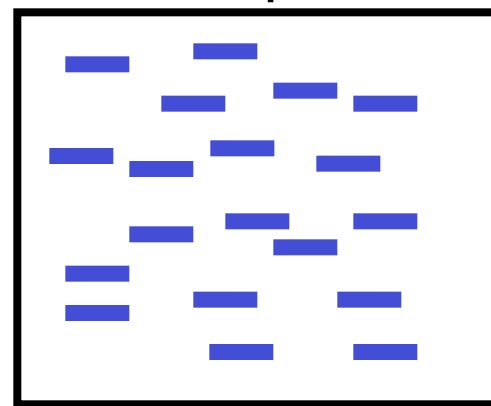
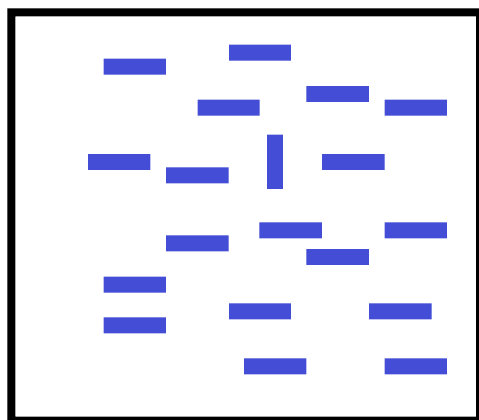
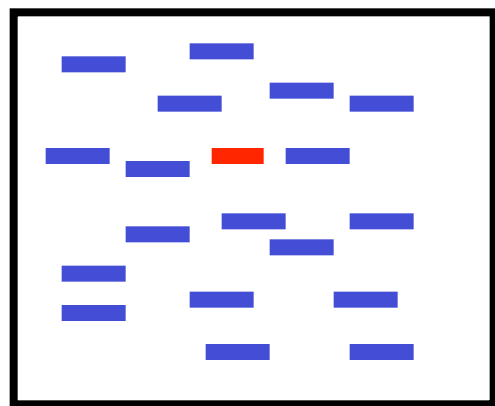
Implications.

A surprising, qualitative, prediction,



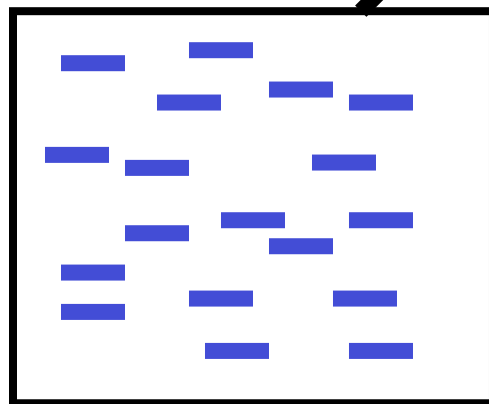
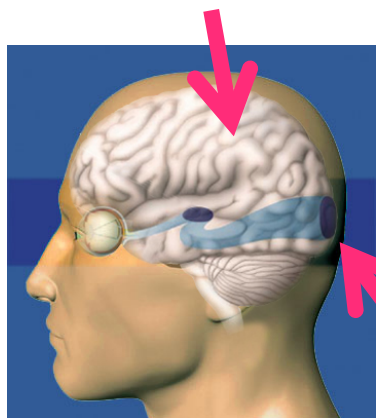
Attention capture by a
non-distinctive visual
item

A surprising, qualitative, prediction

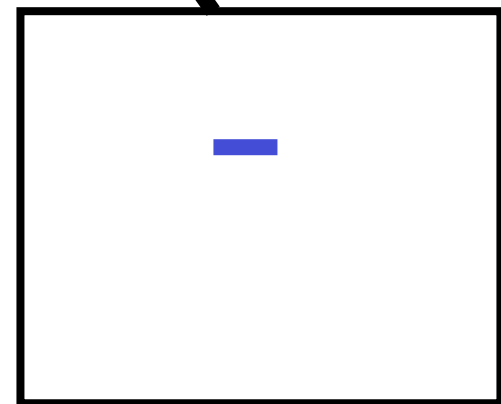


Perception

V2 and above, mostly
binocular neurons,
eye-of-origin blind.



Left eye input

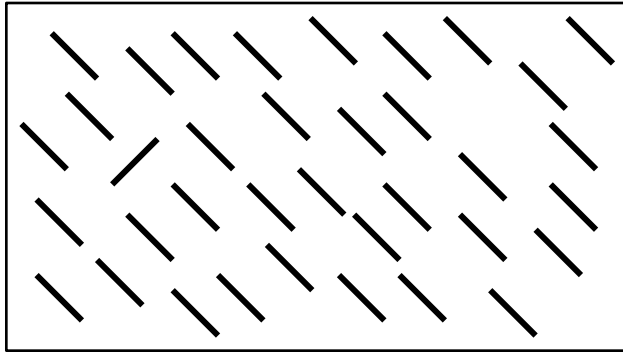


Right eye input

V1: eye-of-origin visible,
by monocular neurons

A qualitative prediction, confirmed (Zhaoping, 2008, 2012)

Left eye image

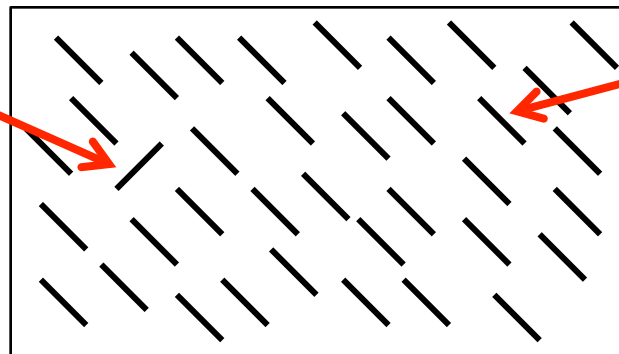


Right eye image



Orientation
singleton:
search target,
salient, and
distinctive.

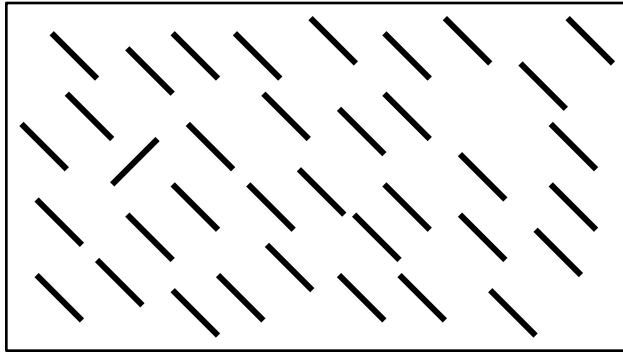
Fused perception



Eye of origin
singleton,
non-distinctive, but
predicted to be very
salient

A qualitative prediction, confirmed (Zhaoping, 2008, 2012)

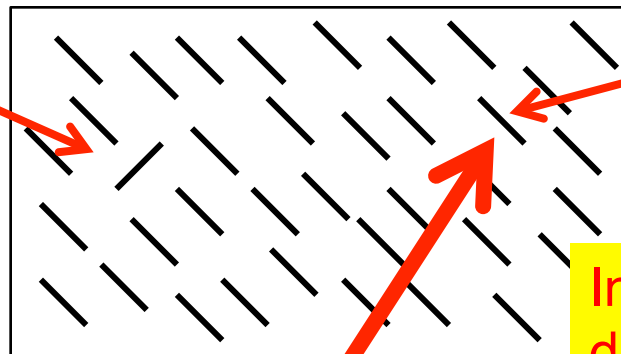
Left eye image



Right eye image



Fused perception



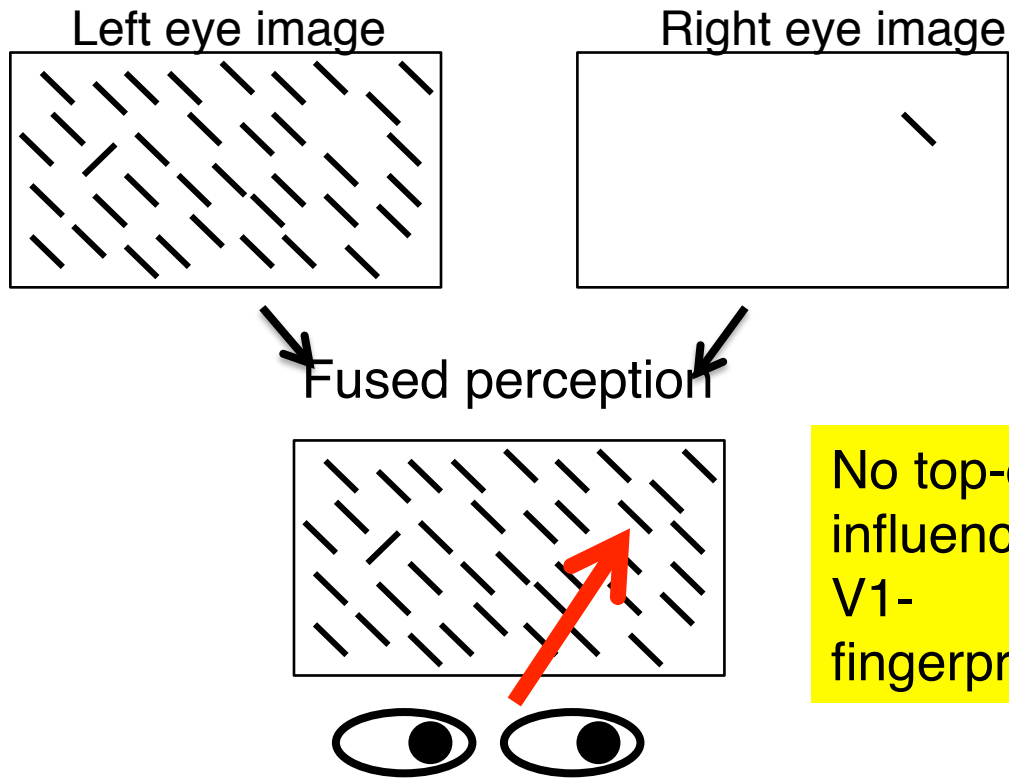
Orientation
singleton:
search target,
salient, and
distinctive.

Eye of origin
singleton,
non-distinctive, but
predicted to be very
salient

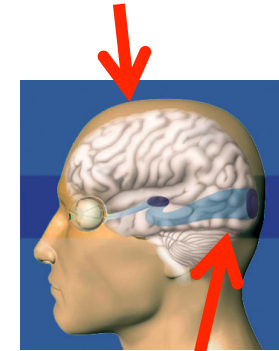
Initial gaze shift,
directed to ocular
singleton 75% of the
trials



A qualitative prediction, confirmed (Zhaoping, 2008, 2012)



Higher cortical
areas:
eye-of-origin blind



V1:
eye-of-origin
visible

Dissociation between
perceptual distinction
and saliency

Other qualitative predictions confirmed:
Zhaoping & Snowden 2006, Zhaoping & May
2007, Koene & Zhaoping 2007, Jingling &
Zhaoping 2008, Zhang et al 2012, etc.

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- (1) What is V1 doing?
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Theory:

A bottom-up saliency map in V1

Predictions and tests:

- (1) Qualitative: ocular singleton pop-out



Implications.

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Theory:

A bottom-up saliency map in V1

Predictions and tests:

- (1) Qualitative: ocular singleton pop-out
- (2) Quantitative: reaction times for pop-out



Implications.

Examples of quantitative predictions from other theories/models

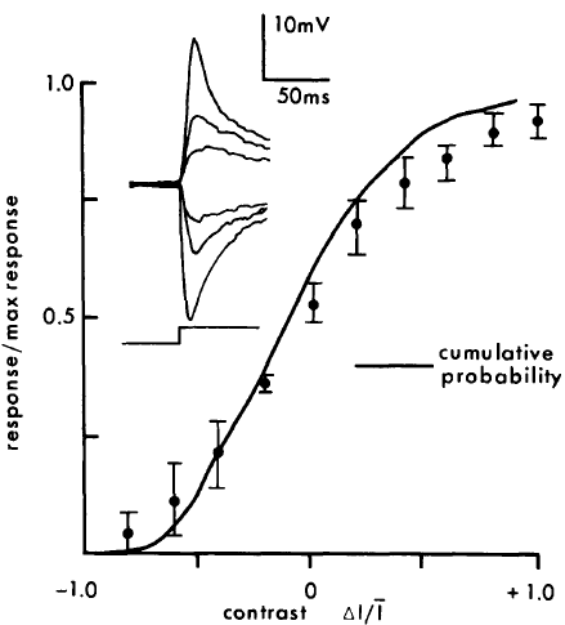


Fig. 2. The contrast-response function of light adapted LMC's compared to the cumulative probability function

Laughlin, 1978
predicting neural
contrast response
function from input
distribution

Zero parameters

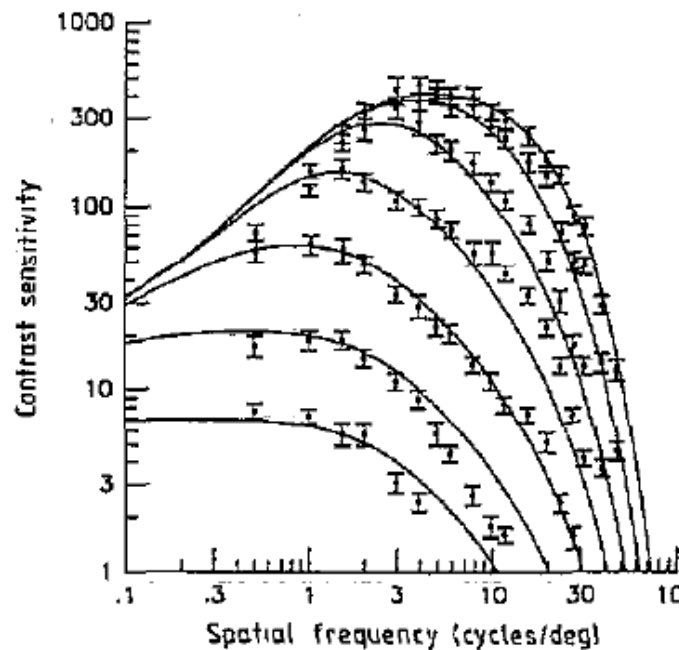
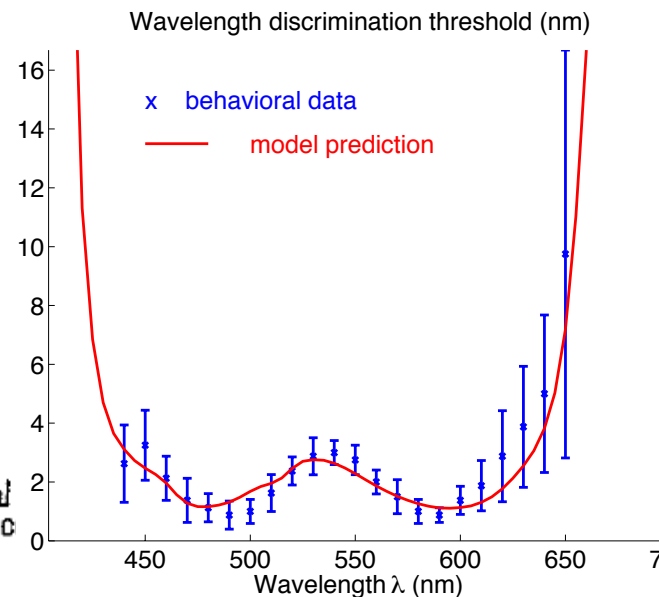


Figure 10. Predicted retinal filters, (5.14), at different spatial frequencies

Atick and Redlich's (1990)
predicting human psychophysical
contrast sensitivity from Barlow's
efficient coding theory, with a few
free parameters.



Zhaoping, Geisler, May 2011,
predicting wavelength
discrimination threshold from
cone spectrum sensitivities,
one free parameter

Examples of quantitative predictions from other theories/models

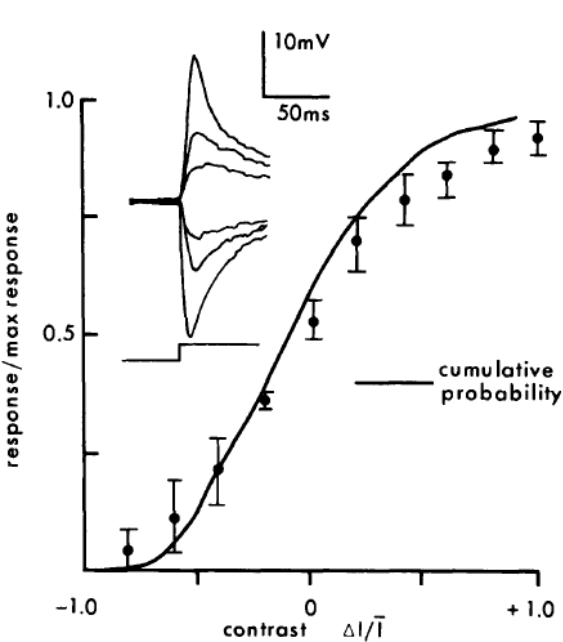


Fig. 2. The contrast-response function of light adapted LMC's compared to the

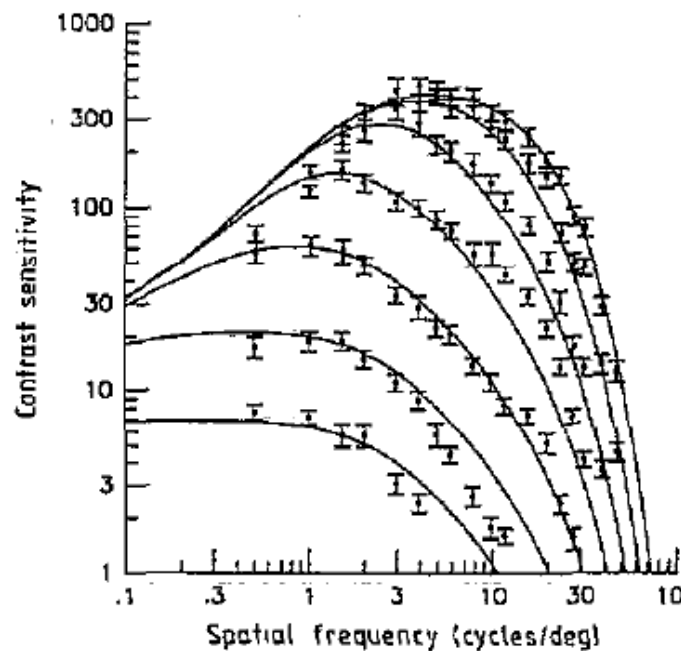
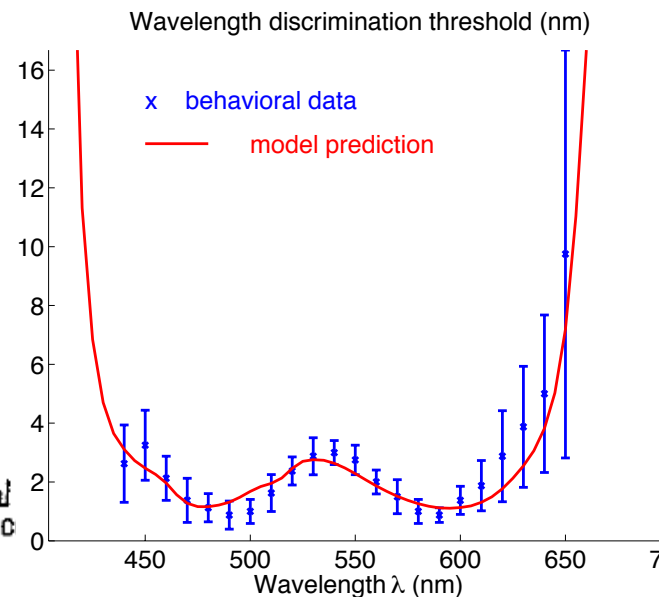


Figure 10. Predicted optimal filter (F14) at different spatial frequencies



Let us try to predict from the V1 Saliency Hypothesis, with zero parameters

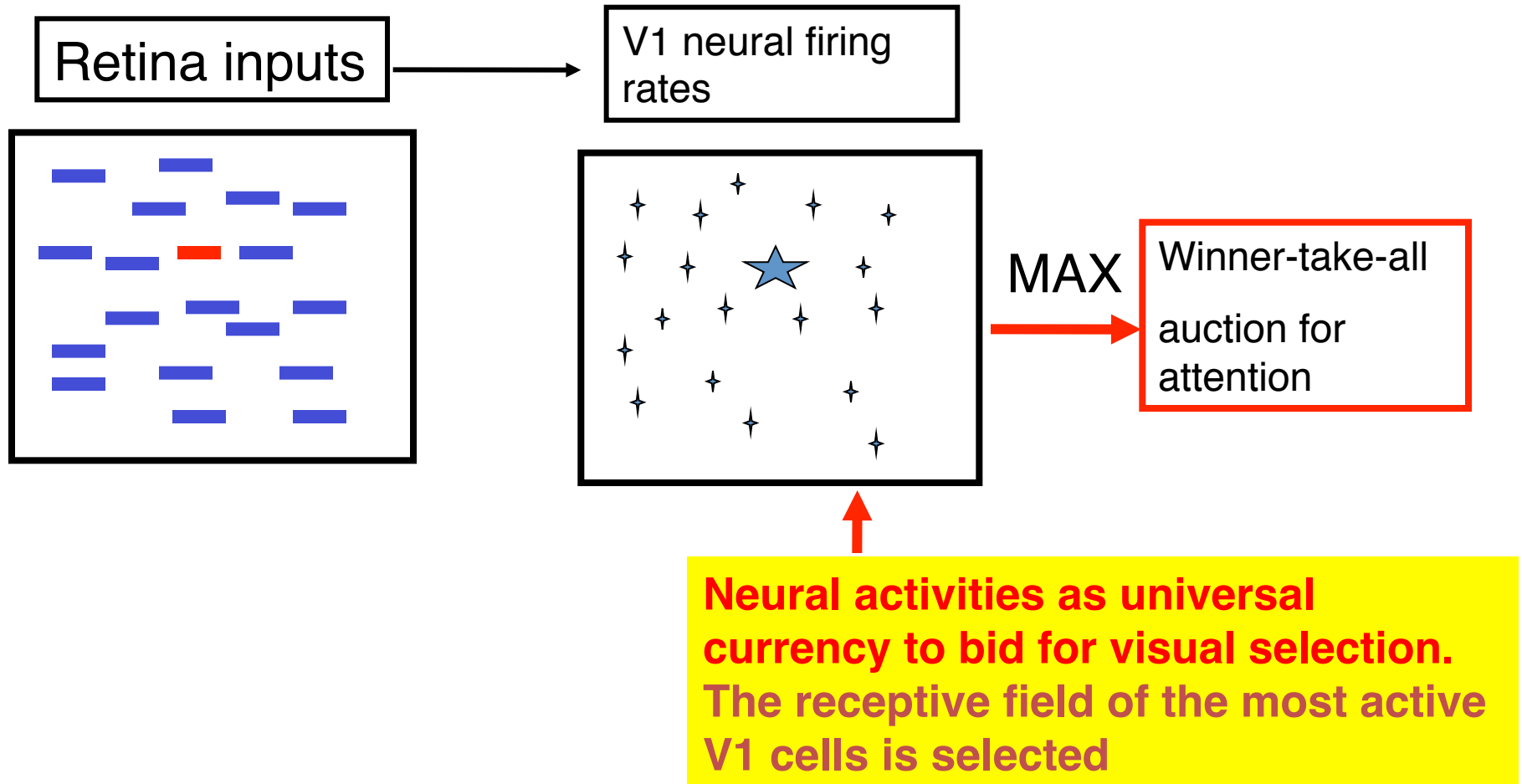
(work done with Li Zhe)

Zero parameters

Laughlin
predicting
contrast r
function f
distribution

2011,
from
ies,

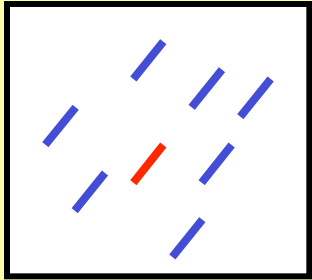
First, recall from the theory:
maximum firing, (not summation of firing rates),
at a location determines its saliency



First, illustrate using a toy V1:

Toy V1: some cells tuned to orientation, others tuned to color

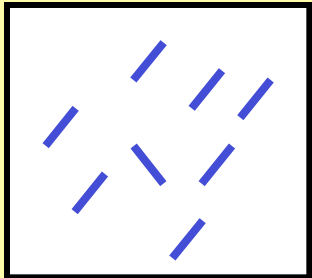
Colour (C) singleton



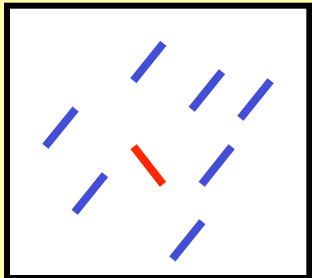
$RT_C = 500 \text{ ms}$, Color (C) cell response 10 spikes/second



Orientation (O) singleton



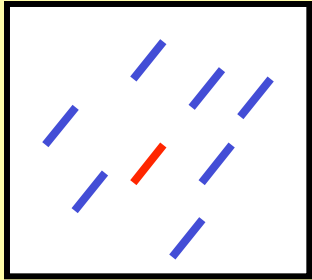
Double (CO) singleton



First, illustrate using a toy V1:

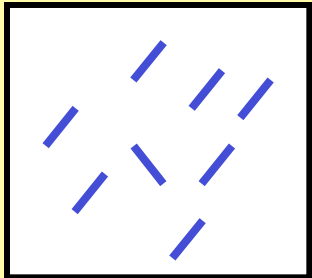
Toy V1: some cells tuned to orientation, others tuned to color

Colour (C) singleton



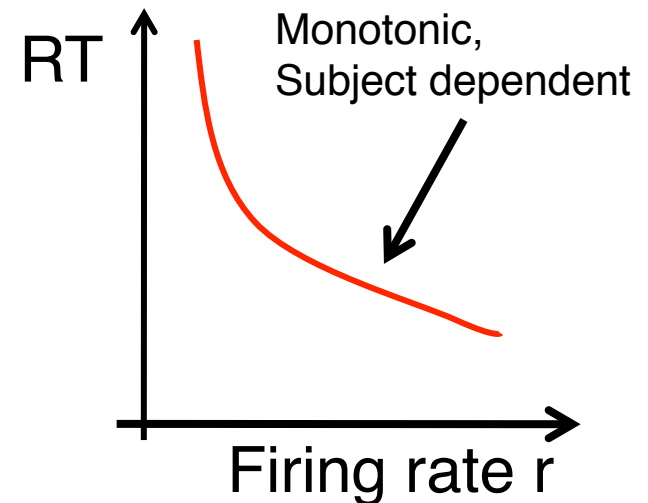
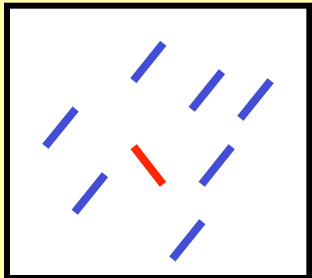
$RT_C = 500$ ms , Color (C) cell response 10 spikes/second

Orientation (O) singleton



$RT_O = 600$ ms, Orientation (O) cell response 9 spikes/second

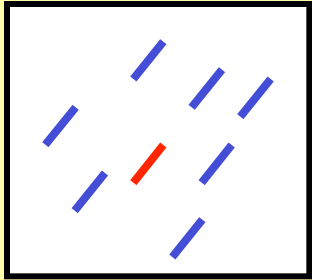
Double (CO) singleton



First, illustrate using a toy V1:

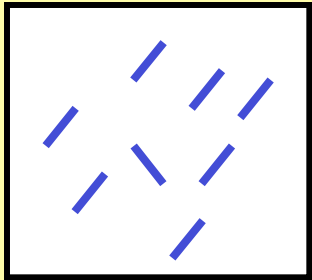
Toy V1: some cells tuned to orientation, others tuned to color

Colour (C) singleton



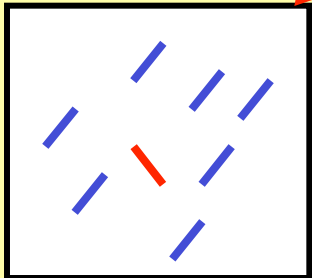
$RT_C = 500$ ms , Color (C) cell response 10 spikes/second

Orientation (O) singleton



$RT_O = 600$ ms, Orientation (O) cell response 9 spikes/second

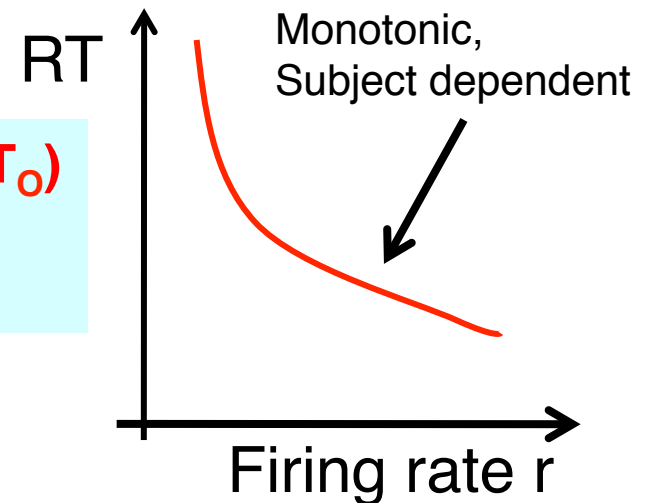
Double (CO) singleton



$RT_{CO} = 500$ ms = $\min(RT_C, RT_O)$

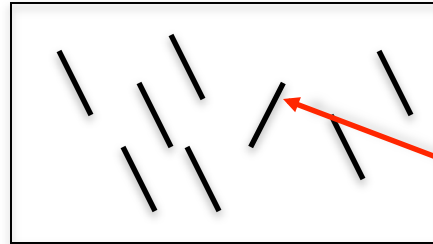
C neuron: 10 spikes/second

O neuron: 9 spikes/second



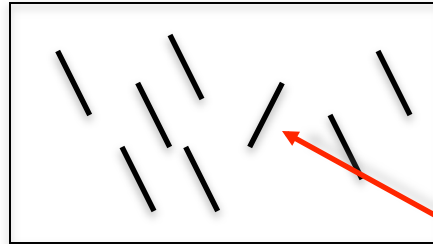
In fact, V1 responses are stochastic, so RT data is probabilistic

RT = 500 ms



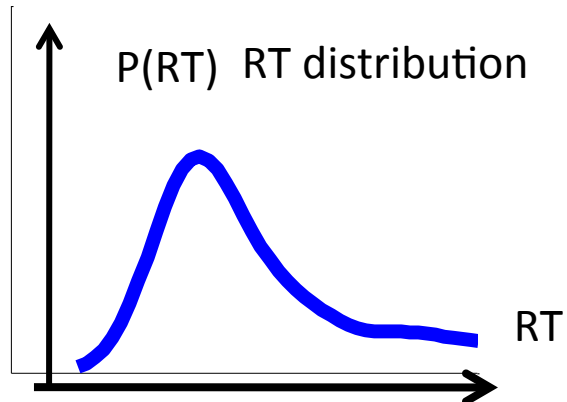
V1 responses to
the target bar
=10 spikes

RT = 400 ms



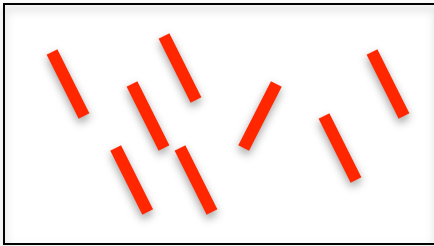
V1 responses to
the target bar
=12 spikes

etc



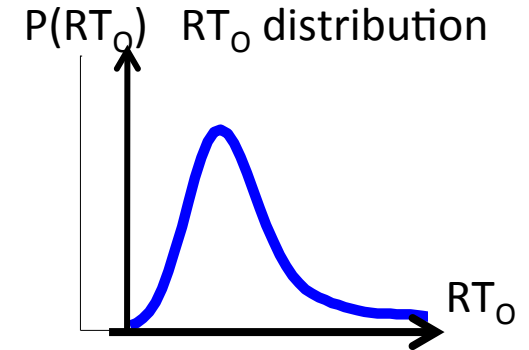
Therefore, we can predict a probability distribution $P(RT_{CO})$

O singleton

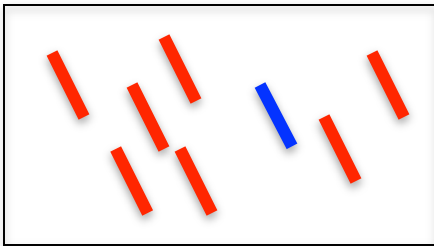


The most active target neuron is tuned to O

Relative target responses: 10, 12, 9 ...

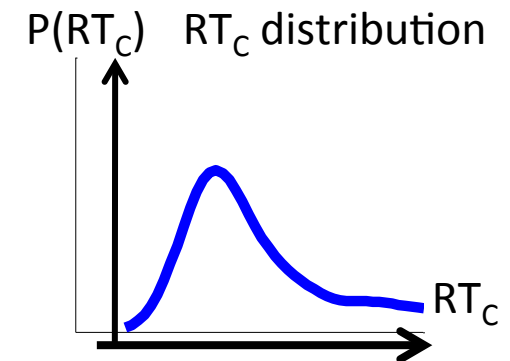


C singleton

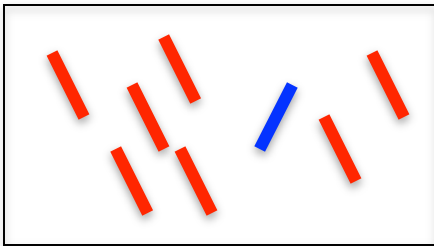


The most active target neuron is tuned to C

Relative target responses: 9, 10, 11 ...



CO singleton



Two types of neurons highly active for the target: O, C

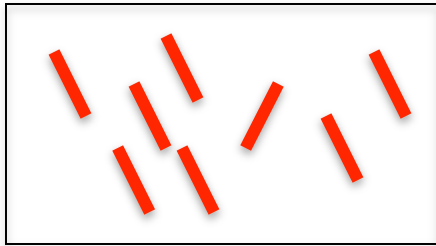
Relative O cell responses: 10, 12, 9 ...

Relative C cell responses: 9, 10, 11 ...

Winner responses: 10, 12, 11 ...

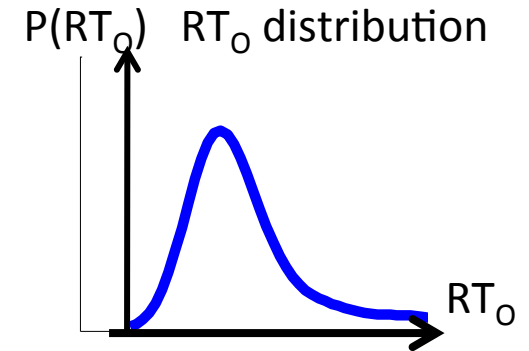
Therefore, we can predict a probability distribution $P(RT_{CO})$

O singleton

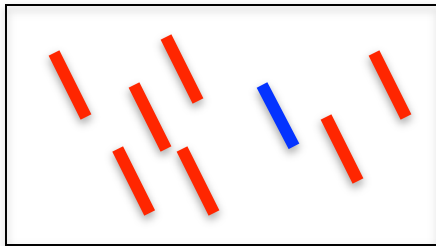


The most active target neuron is tuned to O

Relative target responses: 10, 12, 9 ...

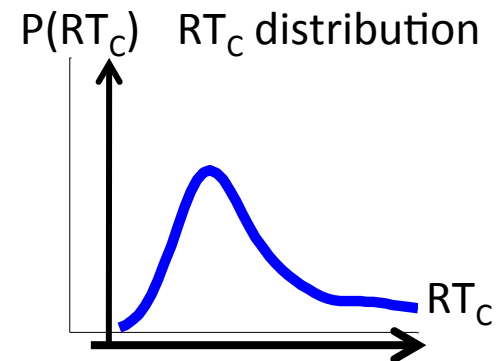


C singleton

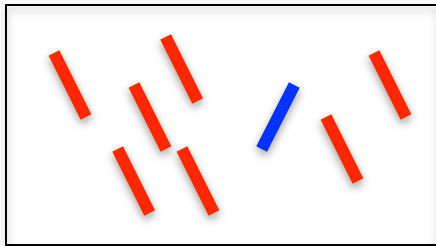


The most active target neuron is tuned to C

Relative target responses: 9, 10, 11 ...



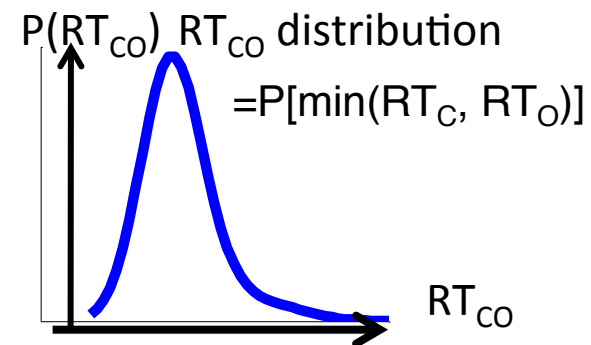
CO singleton



Two types of neurons highly active for the target: O, C

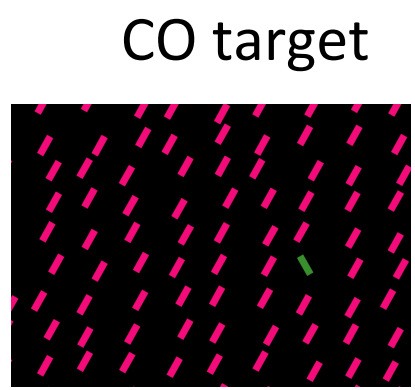
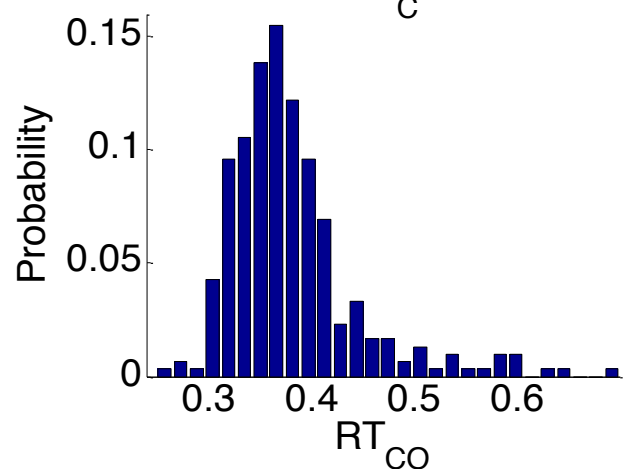
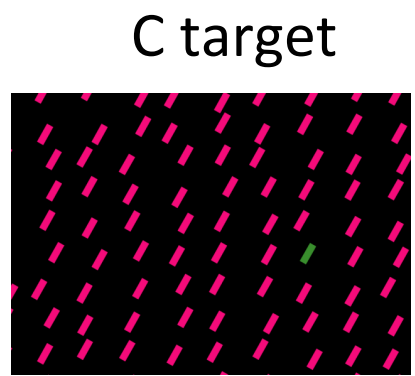
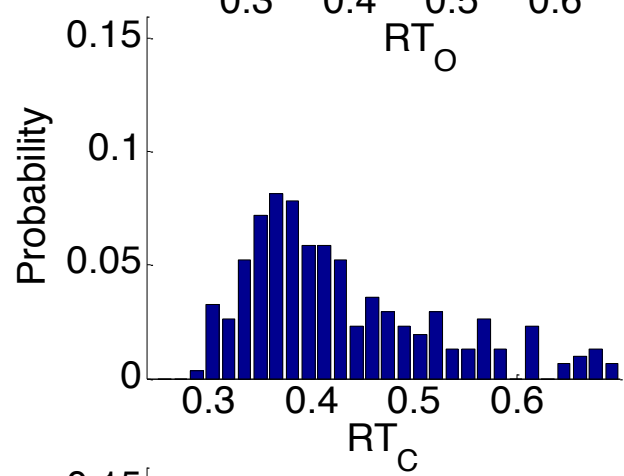
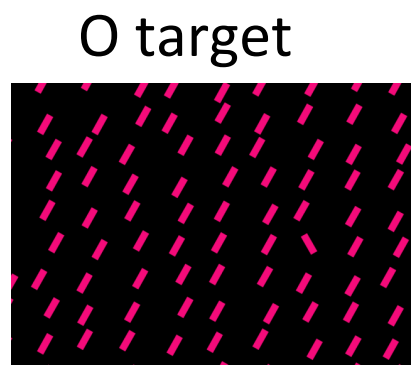
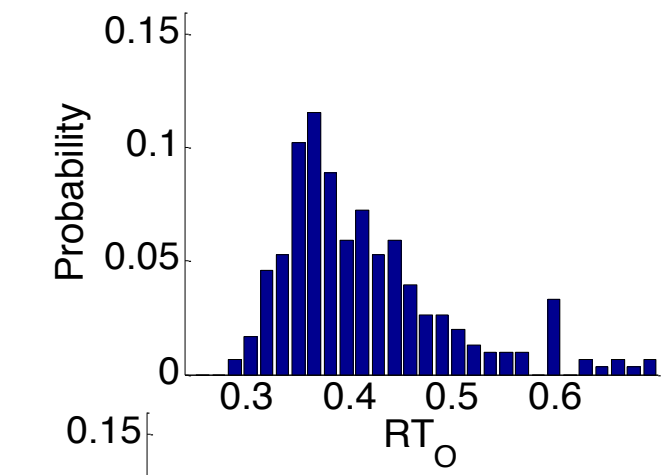
Relative O cell responses: 10, 12, 9 ...

Relative C cell responses: 9, 10, 11 ...



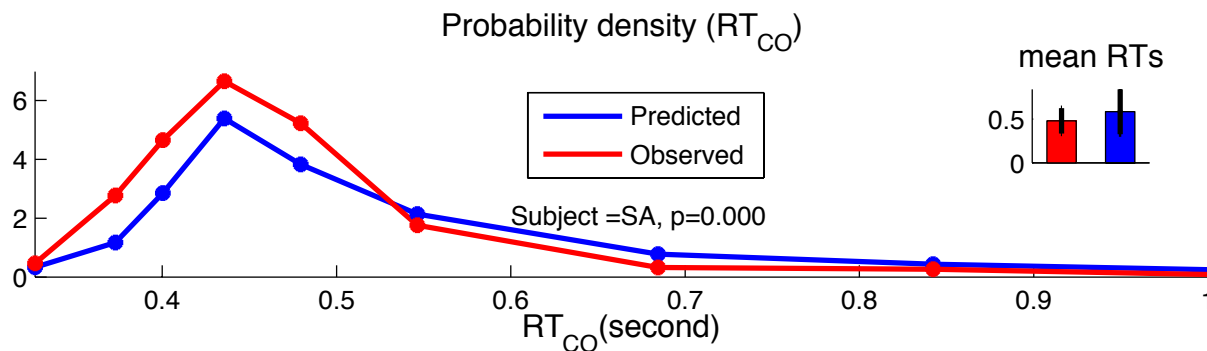
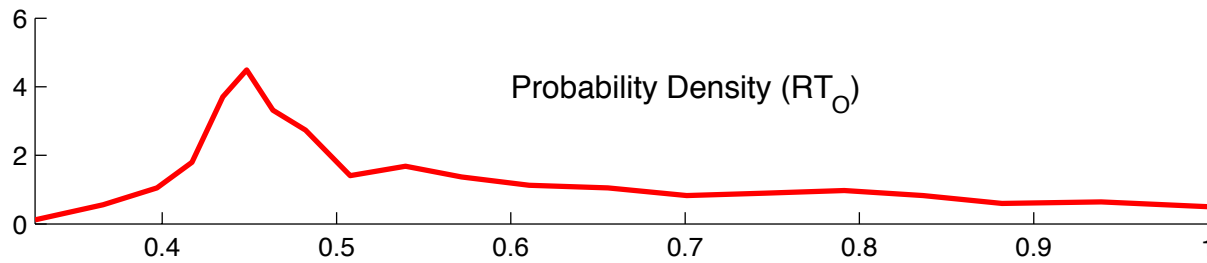
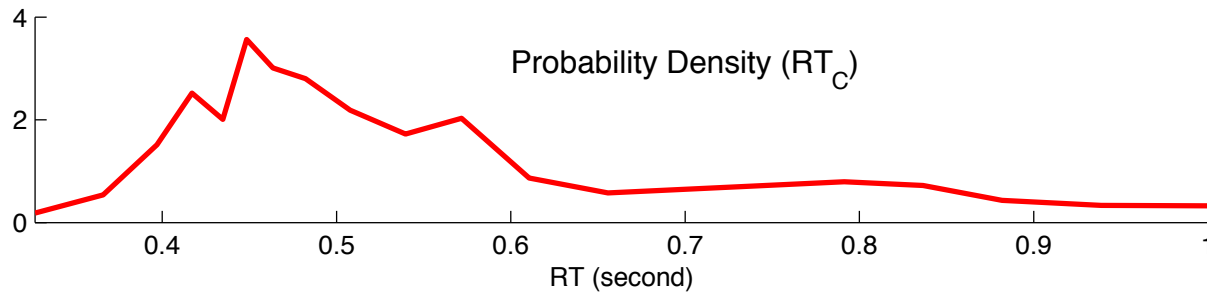
Winner responses: 10, 12, 11 ...

Behavioral data from Koene and Zhaoping (2007)



About 300 trials per condition

Predict RT_{CO} from $RT_{CO} = \min(RT_C, RT_O)$

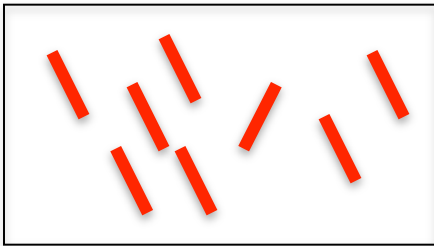


P value ~ 0.00

Predicted RT
significantly longer
than observed RT

Because --- real V1 has CO conjunction cells

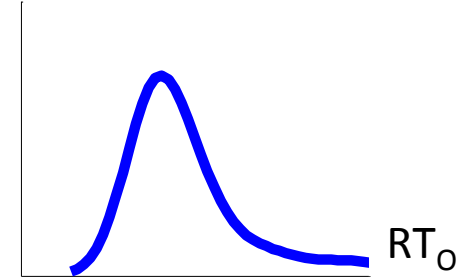
O target



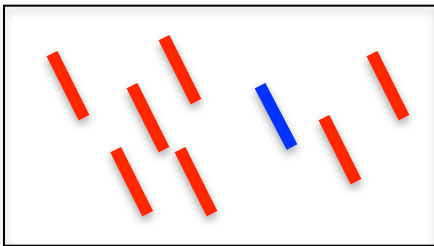
The most active target neuron is tuned to O

Relative target responses: 10, 12, 9 ...

$P(RT_O)$ RT_O distribution



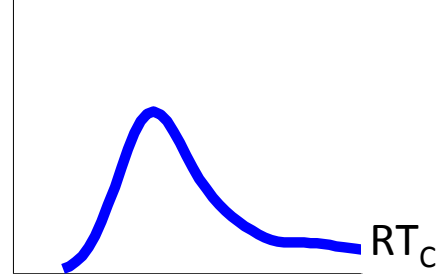
C target



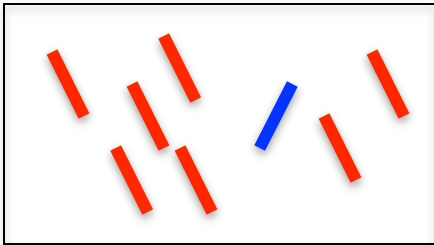
The most active target neuron is tuned to C

Relative target responses: 9, 10, 11 ...

$P(RT_C)$ RT_C distribution



CO target



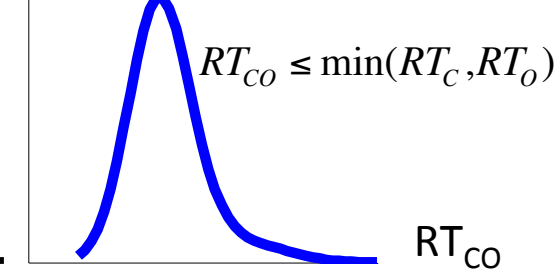
Three types of neurons highly active for the target: O, C, CO

Relative O cell responses: 10, 12, 9 ...

Relative C cell responses: 9, 10, 11 ...

Relative CO cell responses: 11, 10, 10 ...

$P(RT_{CO})$ RT_{CO} distribution



Winner responses: 11, 12, 11 ...

Hence, RT_{CO} can not be predicted from RT_C and RT_O

If V1 did not have CO cells,

$$RT_{CO} = \min(RT_C, RT_O)$$

However, V1 does have CO cells,

$$RT_{CO} \leq \min(RT_C, RT_O)$$

V1 has C, O, M, CO, MO, and few CM cells (Hubel and Wiesel 1959, Livingstone and Hubel 1984, Horwitz and Albright 2005)

We assume that V1 has no CMO cells, then

$$\min(RT_{CMO}, RT_C, RT_M, RT_O) = \min(RT_{CM}, RT_{CO}, RT_{MO})$$

Hence, $\text{Prob}(RT_{CMO})$ predictable from probability distributions of $RT_C, RT_M, RT_O, RT_{CM}, RT_{CO},$ and RT_{MO} .

By analogy

If this prediction is confirmed --- extra-striate cortex excluded

V2 has CMO cells (Shipp, private communication 2011)

would give $\min(RT_{CMO}, RT_C, RT_M, RT_O) \leq \min(RT_{CM}, RT_{CO}, RT_{MO})$

V1 has C, O, M, CO, MO, and few CM cells (Hubel and Wiesel 1959, Livingstone and Hubel 1984, Horwitz and Albright 2005)

We assume that V1 has no CMO cells, then

$$\min(RT_{CMO}, RT_C, RT_M, RT_O) = \min(RT_{CM}, RT_{CO}, RT_{MO})$$

Hence, $\text{Prob}(RT_{CMO})$ predictable from probability distributions of $RT_C, RT_M, RT_O, RT_{CM}, RT_{CO},$ and RT_{MO} .

Behavioral data from Koene and Zhaoping (2007)

Target is different from distractors in *orientation (O)*, *color (C)*, *motion direction (M)*, or combinations them.

7 kinds of targets in total:

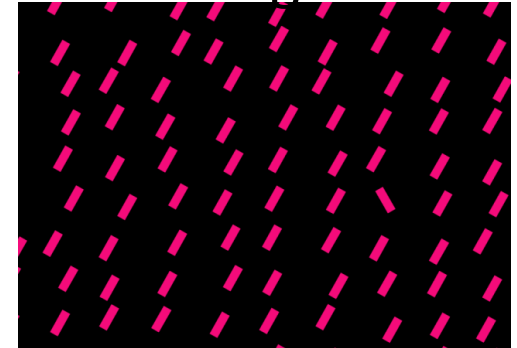
C, O, M,

CO, MO, CM,

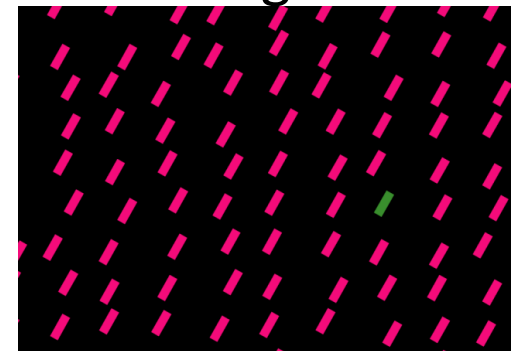
CMO

Each about 300 trials / subject/
condition,
6 subjects in total

O target



C target



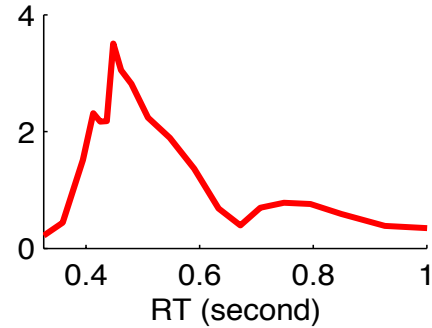
CO target



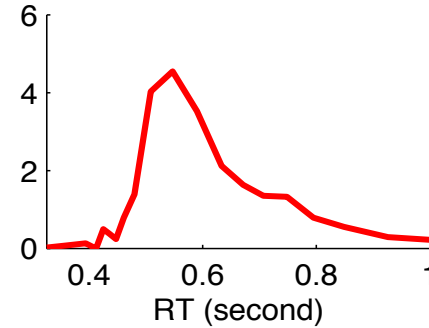
Distributions of RTs for a particular subject:

$$P[\min(RT_{CMO}, RT_C, RT_O, RT_M)] \\ = P[\min(RT_{CM}, RT_{CO}, RT_{MO})]$$

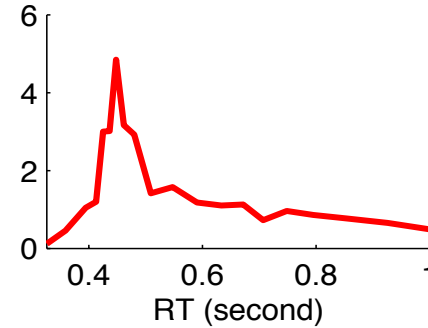
Probability Density (RT_C)



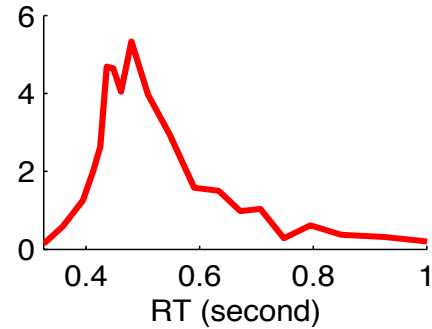
Probability Density (RT_M)



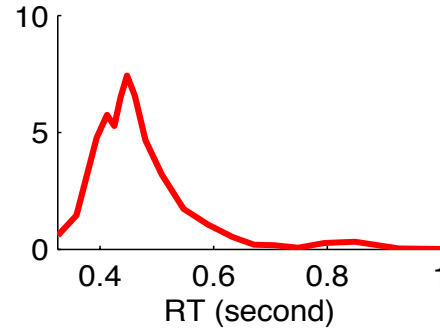
Probability Density (RT_O)



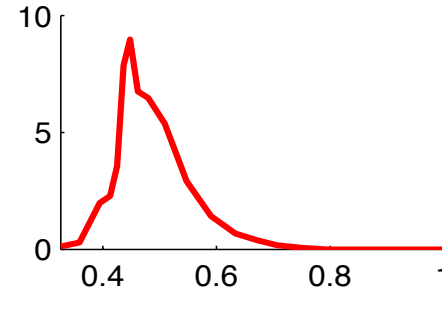
Probability Density (RT_{CM})



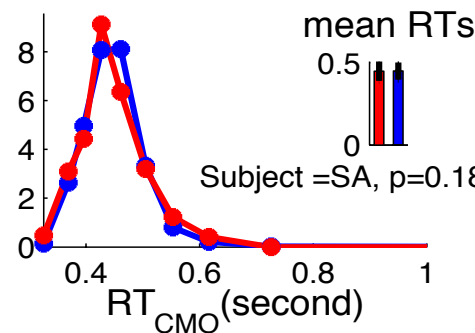
Probability Density (RT_{CO})



Probability Density (RT_{MO})



Probability density (RT_{CMO})

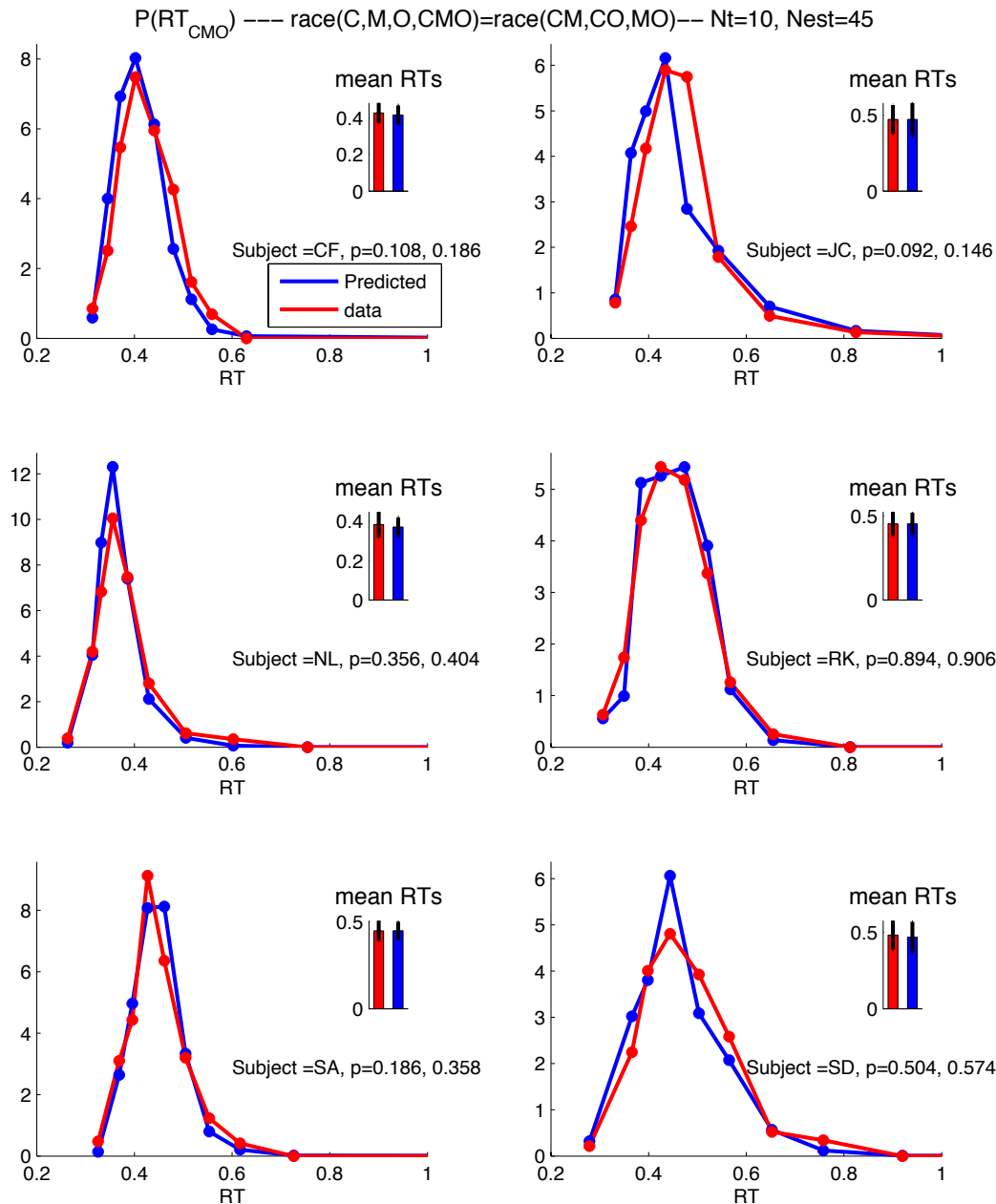


— Predicted
— Observed

P values > 0.18,
No significant
difference

For all six observers

$$P[\min(RT_{CMO}, RT_C, RT_O, RT_M)] \\ = P[\min(RT_{CM}, RT_{CO}, RT_{MO})]$$

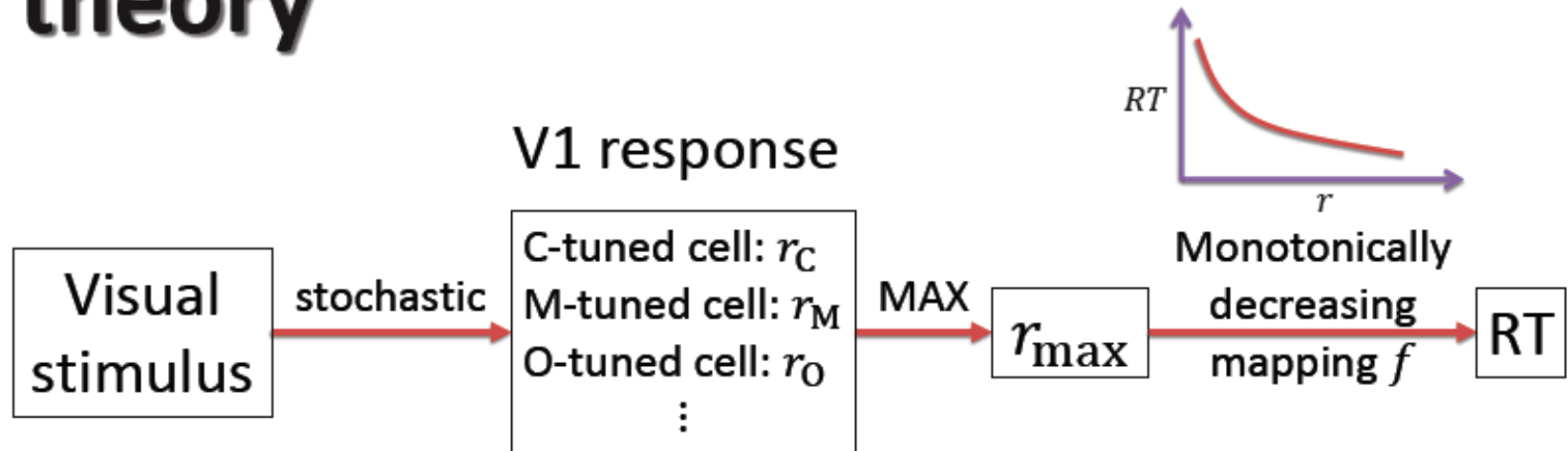


Note: the requirements for our prediction are:

- (1)V1 theory: the highest firing neuron signals saliency of the most salient item
- (2)A monotonic relationship between saliency and RT.
- (3)Physiological knowledge that V1 has no CMO cells.

Hence, no parameters are required for our prediction!

V1 theory



$$\begin{aligned} RT &= f(r_{\max}) = f(\max\{r_C, r_M, \dots\}) \\ &= \min\{f(r_C), f(r_M), \dots\} \end{aligned}$$

Talk outline

Motivating questions:

- (1) What is V1 doing?
- (2) Which brain areas control the direction of attention?

Theory:

A bottom-up saliency map in V1

Predictions and tests:

- (1) Qualitative: ocular singleton pop-out
- (2) Quantitative: reaction times for pop-out

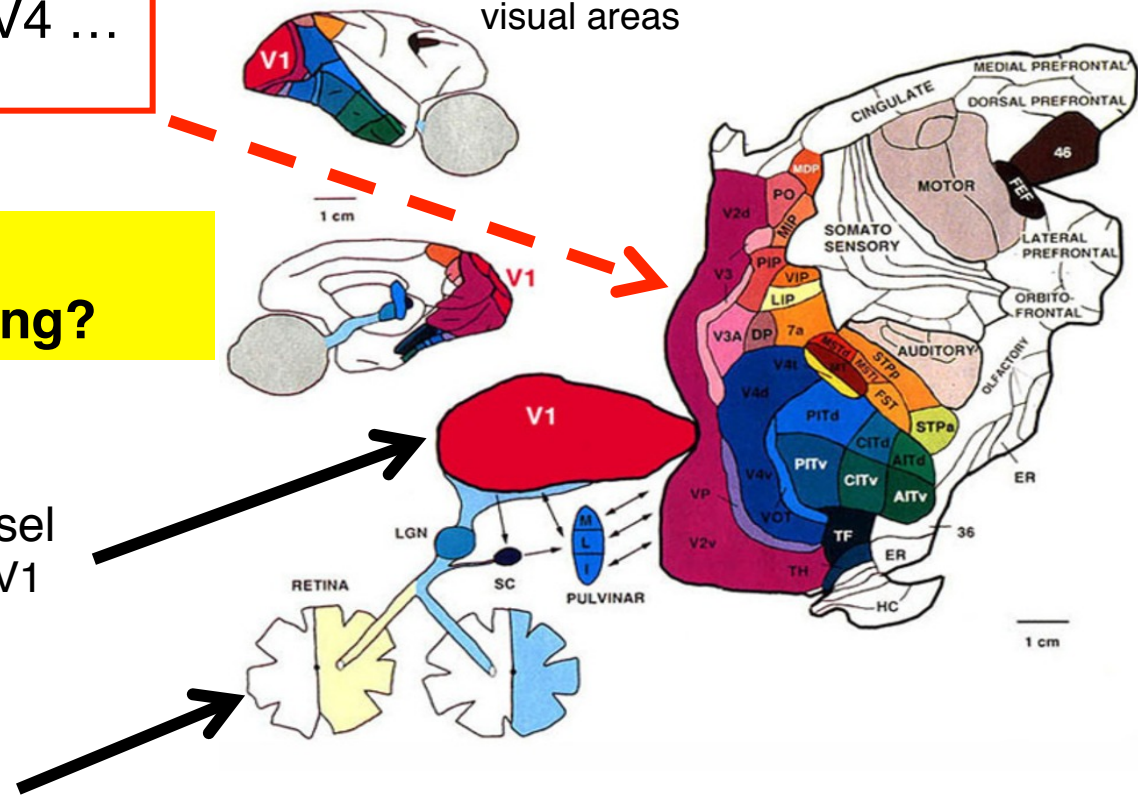
Implications.



1980-200? --- V2, V3, V4 ...
No more data as illuminating

Calling for new ideas!!!
--- What is V1 doing?

Organization of
visual areas



1960s-70s, Hubel and Wiesel
Bar/edge feature detectors in V1

1953, Stephen Kuffler
Center-surround feature
detectors in retina

1980-200? --- V2, V3, V4 ...
No more data as illuminating

V1 for bottom-up selection

Organization of visual areas

1960s-70s, Hubel and Wiesel

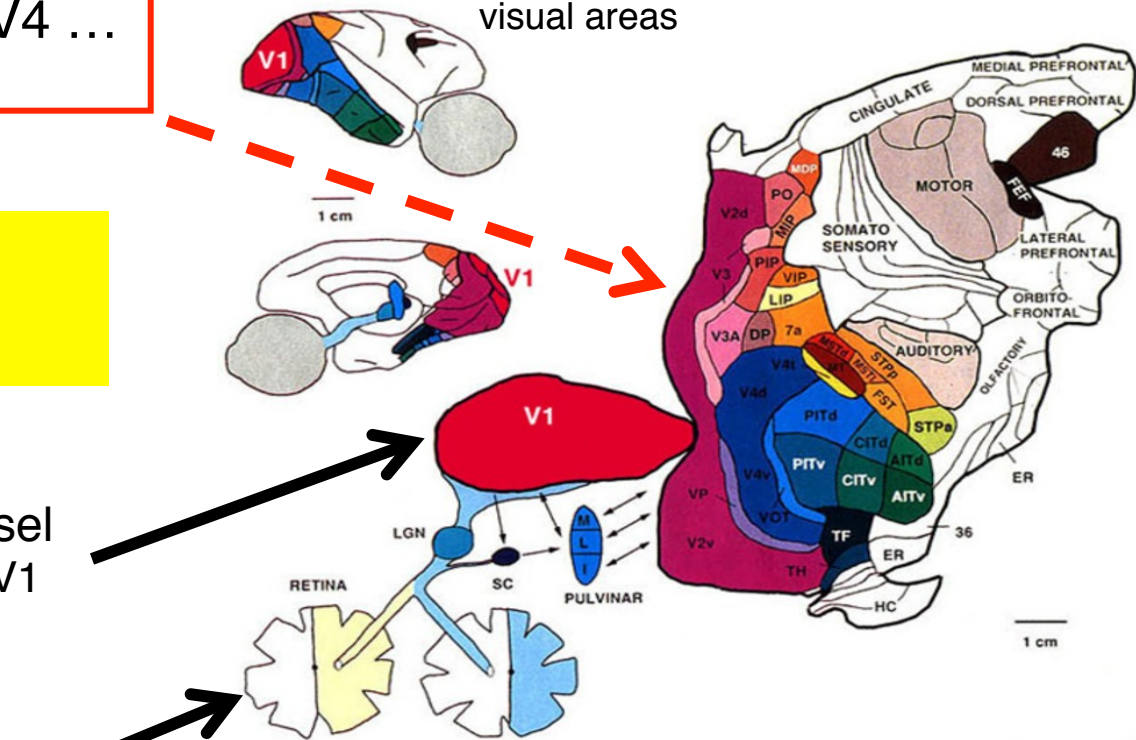
1953, Stephen Kuffler

Center-surround feature detectors in retina

Probe V2 and higher areas for:

Probe V2 and higher areas for:

- (1) top-down selection
- (2) post-selectional decoding



Talk outline

Motivating questions:

- (1) What is V1 doing?
- (2) Which brain areas control the direction of attention?

Theory:

A bottom-up saliency map in V1

Predictions and tests:

- (1) Qualitative: ocular singleton pop-out
- (2) Quantitative: reaction times for pop-out

Implications.:

- (1) V2 and higher areas for top-down selection and post selectional decoding
- (2) Saliency signals in higher areas inherited from V1